|  |  |  |  |
| --- | --- | --- | --- |
|  | **Radiocommunication Study Groups** | |  |
| **INTERNATIONAL TELECOMMUNICATION UNION** | |  | |
|  | | ARM6-3.2.6 | |
| Source: Document 5B/TEMP/28  Subject: WRC-19 agenda item 1.9.1 | | **Annex 21 to Document 5B/71-E** | |
| **17 June 2016** | |
| **English only** | |
| Annex 21 to the Working Party 5B Chairman’s Report | | | |
| Working Document towards a Preliminary draft NEW  report ITU-R M.[AMRD] | | | |
| Autonomous maritime radio devices | | | |

# 1 Background

This report addresses Resolution **362 (WRC-15)** which will be agenda item 1.9.1 at WRC-19.

The aim of this agenda item is to prevent unregulated operation of such autonomous maritime radio devices to enhance safety of navigation and to ensure the integrity of the GMDSS which is the only system for distress, urgency, safety and routine communication for general shipping.

Applications with autonomous maritime radio devices are reflecting a new development in recent time. Due to the rapid technical progress and cost-effective production, more and more of such applications in the maritime environment are created and used in the field.

The intention of the document is to start the discussion on this agenda item and to try to structure the work on it. During the study period additional aspects may needed to be added.

# 2 [Structure of Work]

## 2.1 Definitions

The term autonomous maritime radio device (AMRD) is not part of the ITU lexicon and needs clarification for a wider audience. In particular, this term may not be understood in IMO and a common definition or agreement may be helpful.

Determine the scope of the agenda item.

**[An AMRD is a [mobile] station; operating [in the maritime domain][at sea or to a station at sea];[is not presently part of the maritime mobile service] [and working independently of a ship station or a coast station]]**

*[Editor’s note: It is important that this is defined early in the study period as this strongly influences the direction of the work.]*

The scope of study is limited to devices that use RR Appendix **18** frequencies. It will also take into account the application defined by IMO for radio channels and IMO views on the difficulty to change the performance of mandatory bridge navigation equipment.

## 2.2 Compilation of existing autonomous maritime radio devices

As a first step studies on the worldwide market should be done to draw up a comprehensive list of all known applications of autonomous maritime radio devices. As result several kinds of applications using different technologies are to be expected.

## 2.3 Description of technology which is implemented

For all detected types of autonomous maritime radio devices it is necessary to describe the technology used. Some applications for the same purpose may use different technologies, including combinations of technologies (including DSC, AIS, voice telephony, etc.).

## 2.4 Categorizing of autonomous maritime radio devices

As required by *invites* 2 of Resolution **362 (WRC-15)**, categorizing different devices means to evaluate whether an application may be operated inside or outside the maritime mobile service. For a correct estimation of the appropriate category not only the purpose of an application may be relevant, but also the technology used may be an important aspect. The source and object of radio communication may also determine the evaluation. As result of this study two categorized groups (maritime mobile service/ not maritime mobile service) should be established.

## [2.x] Evaluation of the effect of AMRD on AIS for safety of navigation and search and rescue activities

The *further recognising* of Resolution **362 (WRC-15)** states that the majority of autonomous maritime radio devices using AIS technology are operating in AIS 1 and AIS 2 frequency bands, and, to some extent, occupying the resources of MMSIs for ship stations or aids to navigation that an evaluation of the effects on the functioning of AIS used for the safety of navigation, and especially search and rescue activities implemented by AIS-search and rescue transmitters (AIS-SARTs), is required. A view expressed in the Liaison Statement from IMO, [5B/13](http://www.itu.int/md/R15-WP5B-C-0013/en), stated concerns about overloading of the VHF data link (VDL).

## 2.5 Addressing / numbering required for operation

As a follow-up step, studies on addressing and numbering need to be carried out. For devices which are categorized as belonging to the maritime mobile service, an addressing / numbering system is needed which is in accordance with the identification rules of stations in the maritime mobile service (RR Article **19**, Rec. ITU-R [M.585](http://www.itu.int/rec/R-REC-M.585/en)).

For devices which are categorized outside the maritime mobile service it might be possible and useful to create new and independent addressing and numbering systems, depending on the implemented technology.

## 2.6 Spectrum needs

The usage of the frequencies allocated to the maritime mobile service shall in principle be limited to devices which are categorized for this service.

For the devices outside the maritime mobile service, depending on the implemented technology, studies are necessary to identify spectrum needs to operate such devices.

Further studies need to be carried out to identify and allocate necessary spectrum for the usage of autonomous maritime radio devices. In accordance with *invites* 3 of Resolution **362 (WRC-15)**, sharing studies between different applications and technologies should be initiated to ensure safe operation and no new constraints are placed on GMDSS and AIS. For special exemptions it might be possible to share frequencies subject to RR App. **18**. Due to the fact that in the maritime environment reprogrammed or recoded maritime devices will be deployed, the frequencies in the “gap” of App. **18** may be suitable and of special interest. These frequencies are already generically allocated to the mobile service.

## 2.7 Operational and provisional actions

Studies on operational regulations for both categories of devices are important. In case of categorizing man over board devices, operating with a combination of DSC and AIS technology (new class M devices) in the maritime mobile service, it is necessary to explain the operational procedures and to describe them in an appropriate way either in the RR or in an ITU-R Recommendation.

Depending on technology and spectrum, also for autonomous radio devices outside the maritime mobile service operational procedures may be needed to be defined. Especially in cases where frequencies are shared, operational regulations are required. ITU-R Recommendations may be the appropriate way to implement such regulations.

# 3 Criteria for categorization of devices

For categorization a two-step approach is proposed.

The first step is a compilation of the existing applications of AMRD which could be found on the worldwide market. This could be done in a table what gives a general description for information but not for judgment. This table only shows the different applications. It will not contain any deeper technical details. Table 1 is a draft template for the table showing the titles of the lines in it.

The second step is to build a detailed list of AMRDs to describe the technical realisation of the applications listed in Table 1. It is expected that the detailed list will contain applications with the same purpose but using different technologies. Table 2 is a draft template for the table showing the titles of the lines in it.

Table 1

General list of Applications

| Item | Example  (Man over board) | Remarks |
| --- | --- | --- |
| General description | Personal rescue devices in man over board situation | Name or classification of AMRD, not for judgement |
| Purpose of communication | Alerting, tracking, homing | this could be classified in a more general way, based on the information compiled, to some explicit classifications, such as identifying, alerting, tracking, positioning, data transmitting, or some kind of combination… |
| Source of communication | Person in the water in distress situation | personal device, independent device, or ship equipped device, ……. This factor is also helpful for determining the device included in the scope or not |
| Interest for user | personal | person, enterprise or administration, etc. |
| Destination of communication | From person in the water to own vessel, or to all vessels in vicinity | combining with the factor of General technology and Coverage, this factor determines the operation mode to be open loop, or close loop |
| Kind of communication | Different systems available: AIS, DSC, 121.5 MHz, 406 MHz, other frequencies, synthetic voice or in combination | by this factor, the device could be judged if it is within the scope of the Agenda Item. For example, some possible comprehensive system which is too complicated could be excluded. |
| Implication to ship stations | yes | if the message sent by device needs to be read, recognized, displayed, acknowledged or responded by on-board equipment, etc. |
| Implication to coast stations | Only in special cases depending on the used system, the position and the follow up situation (rescue coordination from shore side) | if the message sent by device needs to be read, recognized, displayed, acknowledged or responded by coast station, etc. |
| Implication to safety of navigation | Depending on the system |  |
| Safety related communication | yes |  |
| Observation | Several different systems using different technologies are on the market. The different systems may be created for different kind of vessels (e. g. fast going container vessels, passenger vessels, fisher boats, yachts, single hand crews etc.) | including Application status and Scale of application or potential quantity |
| Evaluation 1 | Additional assessment needs to be done for the different systems. Depending on the used technology and on the kind of vessel on which a device should be used, different results concerning the existing maritime mobile service can be expected. | If additional assessment needs to be done for the different systems |
| Result |  | the result that indicates the device should be included in the permission list or not |

Table 2

Detailed list of AMRDs

|  |  |  |  |
| --- | --- | --- | --- |
| Name of AMRD |  | Example (MOB1) | Remarks |
| **General technology/ main technical characteristics** | AIS | X |  |
| Radiotelephony (voice) | – |
| Synthetic voice | – |
| DSC (Ch. 70) | – |
| **Frequency band/ Resource consumption** | AIS1 | X | by this factor, the device could be judged if it is within the scope of the Agenda Item. For example, some device that is not operating in the maritime mobile service band could be excluded. |
| AIS2 | X |
| 121.5 MHz | X |
| 406 MHz | – |
| Other frequency | – |
| **Deployment scenario** | Alerting | (X) with additional equipment only | deployed by person, or free drift object, or man-controlled moving object, etc. this is helpful for determining the implication to ships and safety of navigation |
| Tracking | X |
| Homing | X |
| Coverage |  | ca 5 nm | this depends on some technical parameters, such as transmitting power, antenna height or etc. |
| main technical characteristics |  |  | including the power, the message size, the transmitting cycle, etc, for the purpose of determining the requirement of numbering and spectrum |
| **Assessment** |  |  | the existing consumption of the resources of MMS, including numbering and spectrum |
| Comments |  | open system, but AIS is no alerting system, additional equipment or software is needed |  |
| Evaluation 2 |  | TBD | If the further evaluation is needed |

# 4 Conclusion

[TBD]

*[Editor’s note: The following annexes contain information received at the May 2016 meeting, but these annexes may be incorporated into Table 1 and Table 2 above at a later stage of the study.]*

**Annex 1** [[Example] study by Germany]

The following tables show examples for man over board (MOB) devices and diver devices:

General list of autonomous devices with the different applications

|  |  |  |  |
| --- | --- | --- | --- |
|  | Man over board | Diver | Other applications |
| General description | Personal rescue devices in man over board situation | Personal communication device for divers |  |
| Source of communication | Person in the water in distress situation | Diver in the water |  |
| Interest for user | personal | personal |  |
| Purpose of communication | Alerting, tracking, homing | Radiotelephony, tracking, homing, alerting |  |
| Destination of communication | From person in the water to own vessel, or to all vessels in vicinity | From diver to mothership or in distress situation to vessels in vicinity |  |
| Kind of communication | Different systems available: AIS, DSC, 121.5 MHz, 406 MHz, other frequencies, synthetic voice or in combination | Different systems available: AIS, DSC, 121.5 MHz, 406 MHz, other frequencies, synthetic voice or in combination |  |
| Influence on shipping | yes | In routine situation only mothership |  |
| Influence on Coast stations | Only in special cases depending on the used system, the position and the follow up situation (rescue coordination from shore side) | Normally no |  |
| Influence on satellite | Depending on the system | Normally no |  |
| Safety related communication | yes | Only in emergency case |  |
| Observation | Several different systems using different technologies are on the market. The different systems may be created for different kind of vessels (e. g. fast going container vessels, passenger vessels, fisher boats, yachts, single hand crews etc.) | Different systems using different technologies are on the market. |  |
| Evaluation 1 | Additional assessment needs to be done for the different systems. Depending on the used technology and on the kind of vessel on which a device should be used, different results concerning the maritime mobile service can be expected. | Additional assessment needs to be done for the different systems. Depending on the used technology different results concerning the maritime mobile service can be expected. |  |

Additional table for MOB:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | MOB1  (WamBlee W460-W460LP) | MOB2  (MOBILARMV100) | MOB3  (Ocean Signal M100 / M100X) | MOB4  (Seareq MOBOS ®) |
| AIS | X | – | X | – |
| Radiotelephony (voice) | – | – | – | – |
| Synthetic voice | – | X  on Ch. 16 | – | – |
| DSC (Ch. 70) | X closed loop only | X open loop | – | – |
| AIS1 | X | – | X | – |
| AIS2 | X | – | X | – |
| 121.5 MHz | – | – | X | – |
| 406 MHz | – | – | – | – |
| Other frequency | – | – | – | 869.52 MHz (Europe) |
| Alerting | X own ship only | X | (X) with additional equipment only | X own ship only |
| Tracking | X | X | X | X own ship only |
| Homing | X | X | X | X own ship only |
| Comments | system works only in closed loop | open system, which doesn’t fulfil rules of RR, interference in areas with heavy traffic | open system, but AIS is no alerting system, additional equipment or software is needed | closed system, different frequencies, depending on region |
| Evaluation 2 | TBD | TBD | TBD | outside maritime mobile service |
| MOB1: <http://www.wamblee.it/marittimo/w460/?lang=en>  MOB2: <http://www.orcv.org.au/index.php/docman-link/safety/2291-mobilarmv100brochure/file>  MOB3 <http://oceansignal.com/products/m100m100x/>  MOB4: <http://www.seareq.de/en/products/mobos> | | | | |

There are several more different systems of MOBs on the market; therefore this table needs to be expanded.

Additional table for diver devices

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | diver1 (easyRESCUE-DIVE) | diver2 (Nautilus LifeLine) | diver3 (Seareq ENOS ®) |  |
| AIS | X | – | – |  |
| Radiotelephony (voice) | – | X  VHF App 18 | – |  |
| Synthetic voice | – | – | – |  |
| DSC (Ch. 70) | – | X | – |  |
| AIS1 | X | – | – |  |
| AIS2 | X | – | – |  |
| 121.5 MHz | – | – | – |  |
| 406 MHz | – | – | – |  |
| other frequency | – | – | 869,52 MHz (Europe) |  |
| Alerting | (X) with additional equipment only | X | X own ship only |  |
| Tracking | X | X | X own ship only |  |
| Homing | X | X | X own ship only |  |
| Comments | open system, but AIS is no alerting system, additional equipment or software is needed | TBD | closed system, different frequencies, depending on region |  |
| Evaluation 2 | TBD | TBD | outside maritime mobile service |  |
| diver1 <http://www.easyais.com/en/products/d-easyrescue-dive-a040/>  diver2 <http://www.seareq.de/en/products/enos>  diver3 <http://www.nautiluslifeline.com/nautilus_lifeline> | | | | |

There may be other different systems of diver devices on the market; therefore it may be necessary to expand the table.

**Annex 2**[[Example] study by China]

The maritime radio regulatory Administration of China conducted a first phase survey by collecting the information on application requirement, main technical and operational characteristics of the device, and spectrum related aspects for supporting the study of agenda item 1.9.1. The delivery of questionnaire covers maritime safety administration, search and rescue organization, ministry of government related to the marine active such as fishing administration and National Meteorological Bureau, and some of the industrial enterprises. The working document is developed based on the summary of outcomes from the preliminary survey.

# A2.1 Information on application requirement

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Item | 1 | 2 | 3 | 4 | 5 | 6 |
| **Device** | Fishing Locator | AIS AtoN | AIS-SART | AIS-MOB | Oceanic meteorological data transmitter | Oceanic observation data transmitter |
| **Application situation** | Fishing nets, aquaculture net, life jacket and craft | Navigational buoys | Vessel, lifecraft | lifejackets, etc. | Oceanic meteorological buoys | Oceanic observation |
| **General technology** | AIS | AIS | AIS | AIS | AIS | AIS |
| **Purpose** | Fishing instrument or crew recognition and location | Aiding to navigation | Lifeboats locating at sea | man overboard alarm and locating | Ocean current and meteorology monitoring | Oceanic environment observation |
| **Deployment scenario** | Mainly deployed in fishing sea area; sometimes installed on nets towed by fishing boat, fixed aquaculture net, or equipped on life jacket or craft. | Mainly deployed on light houses or buoys along fairways. | Generally installed in the bridge of ships, but would be deployed on lifeboats when distress occurs. | Attached to life-saving equipment, such as life jackets. | Deployed on meteorological floating buoys. | Deployed on oceanic observation buoys |
| **Relationship to existing equipment onboard** | Mainly no needs to transmit information to merchant ships, except those installed on nets towed by fishing boat, but need to be read by fishing boat. | Transmits information to merchant ships. Information needs to be read for the purpose of aiding navigation. | Transmits information to rescue units to indicate the position and status of lifeboats. | Transmits information to rescue units to indicate the location and status of men overboard. | Irrelative | Irrelative |
| **Application administration** | Fishing administration and enterprises | Maritime administrations, Navigation Guarantee Center | Maritime administrations, RCCs and shipping companies. | Maritime administrations and shipping companies. | Meteorology administrations. | Oceanic administration |
| **Scale of application quantity** | About 5 000 annually in recent two years | Several hundred annually | Several hundred annually | A few in use | A few in use | A few |
| **Application status** | In use | In use | In use | In planning and trial operation | In use | In trial |

# A2.2 Information on main technical and operational characteristics

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Item | 1 | 2 | 3 | 4 | 5 | 6 |
| Device | Fishing Locator | AIS AtoN | AIS-SART | AIS-MOB | Oceanic meteorological data transmitter | Oceanic observation data transmitter |
| Frequency bands using or requirement | AIS 1, AIS 2 | AIS1, AIS2 | AIS1, AIS2 | AIS1, AIS2 | AIS1, AIS2 | AIS1, AIS2 |
| Access mode | SOTDMA,CSTDMA | FATDMA, ITDMA, RATDMA | RATDMA, CSTDMA, SOTDMA | RATDMA | RATDMA | FATDMA, ITDMA, RATDMA |
| Transmitting power | 2W | 5W, 12.5W, 6W | 1W, 2W, 6W | 2W, 1W | N/A | 5W, 12.5W, 6W |
| Message format | Message 18, 19, 24A and 24B | Message 21, 6 | Message 1, 6, 14, 18, 21, 24 | Message 1, 14 | Message 18, 19, 24A and 24B | Message 21, 6 |
| Data size of message | Refer to ITU-R M.1371-5 | 360 bits, 128 bits | 128 bits, 160 bits, 160+168 bits, | Refer to ITU-R M.1371-5 | N/A | 360 bits, 128 bits |
| Transmitting period | 30 sec, 3 min | 3 min, 6 min, 1‑360 min | 10 sec, 30 sec, 6 min, 1 min, 1-360 min | 26.67 ms, 1 min | N/A | 3 min, 6 min, 1‑360 min |
| Requirement to the channel link | Refer to Class B AIS | 2 slots/min, 1 slot/min | 1 slot/min, 8 slots/min | 8 slots/min | N/A | 2 slots/min, 1 slot/min |
| Station identification mode | Not regulated | MMSI, Refer to ITU‑R 585-7 | MMSI, Refer to ITU‑R 585-7 | Not regulated | Not regulated | MMSI, Refer to ITU‑R 585-7 |
| Displayed icon |  |  |  |  | N/A |  |
| Complied standard or recommendation | ITU-R M.1371-5 | IEC62320-2 | IEC61097-14, IEC62287-1,ITU-R M.1371-5 | ITU-R M.1371-5, IEC62287(2010), MSC.74(69), IEC60945 | N/A | IEC62320-2 |

# A2.3 Information on spectrum issues

The following table gives a brief description on the current allocation status and main usage of VHF band within 156-162.05 MHz in Chinese mainland.

|  |  |  |  |
| --- | --- | --- | --- |
| Frequency band (MHz) | Allocation (Chinese mainland) | Application | Remarks |
| 156-156.4875 | FIXED  MOBILE | Refer to RR. No. **5.226** and App. **18** | RR. No. **5.226** |
| 156.4875-156.5625 | MARITIME MOBILE  (distress and calling) | DSC | RR. Nos. **5.111**, **5.226**, **5.227** |
| 156.5625-156.7625 | FIXED  MOBILE | Refer to RR. No. **5.226** and App. **18** | RR. No. **5.226** |
| 156.7625-156.7875 | MARITIME MOBILE  Mobile-satellite  (Earth-to-space) | Refer to RR. No. **5.228** | RR. Nos. **5.111**, **5.226**, **5.228** |
| 156.7875-156.8125 | MARITIME MOBILE  (distress and calling) | Refer to RR App. **18** | RR. Nos. **5.111**, **5.226** |
| 156.8125-156.8375 | MARITIME MOBILE  Mobile-satellite  (Earth-to-space) | Refer to RR. No. **5.228** | RR. Nos. **5.111**, **5.226**, **5.228** |
| 156.8375-160.975 | MARITIME MOBILE  LAND MOBILE | Refer to RR App. **18** | RR. No. **5.226** |
| 160.975-161.475 | FIXED  MOBILE | Land mobile |  |
| 161.475-161.9625 | MARITIME MOBILE  LAND MOBILE | Land mobile | RR. No. **5.226** |
| 161.9625-161.9875 | MARITIME MOBILE  LAND MOBILE  Aeronautical mobile (OR)  Mobile-satellite  (Earth-to-space) | AIS, Refer to RR. Nos. **5.228E**, **5.228F** and App. **18** | RR. Nos. **5.228E**,  **5.228F**, **5.226** |
| 161.9875-162.0125 | MARITIME MOBILE  LAND MOBILE | Refer to RR. No. **5.226** and App. **18** | RR. 5.226 |
| 162.0125-162.0375 | MARITIME MOBILE  LAND MOBILE  Aeronautical mobile (OR)  Mobile-satellite  (Earth-to-space) | AIS, Refer to RR. Nos. **5.228E**, **5.228F** and App. **18** | RR. Nos. **5.228E**,  **5.228F**, **5.226** |
| 162.0375-162.050 | MARITIME MOBILE  LAND MOBILE | Land mobile | RR. No. **5.226** |