



**IWRAP Mk2**

# **Training session**

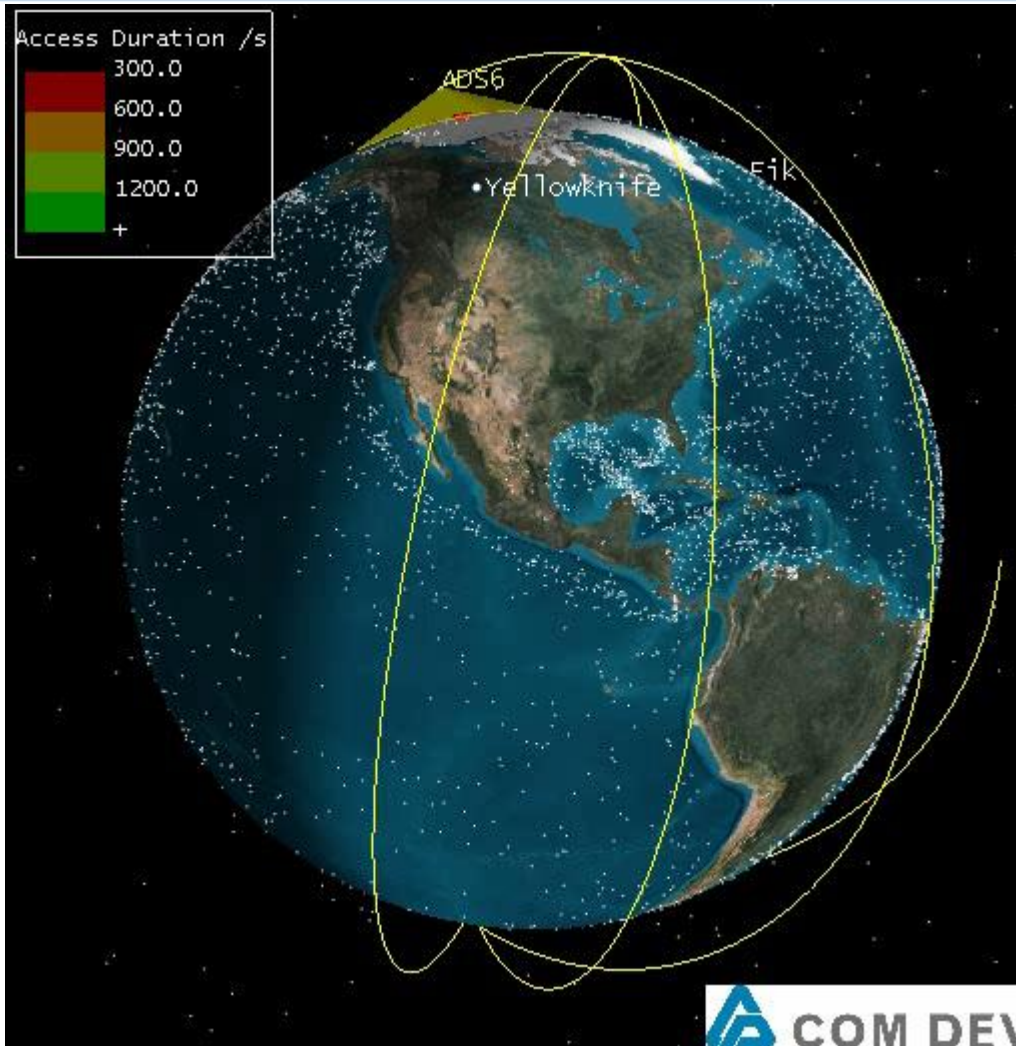
**IALA**

Per Christian Engberg

October 2017, Nørresundby

**GateHouse**

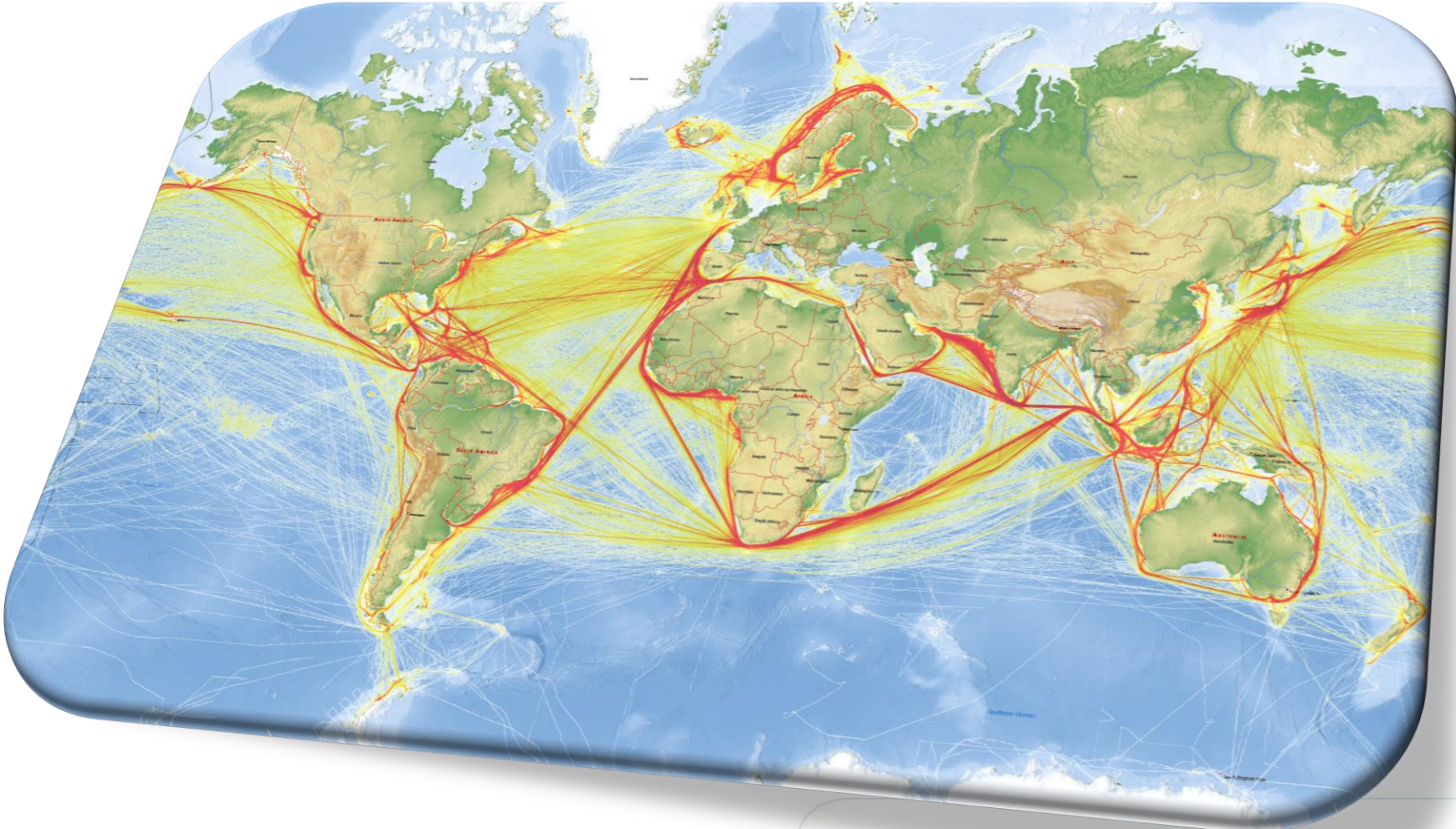
# Space AIS data



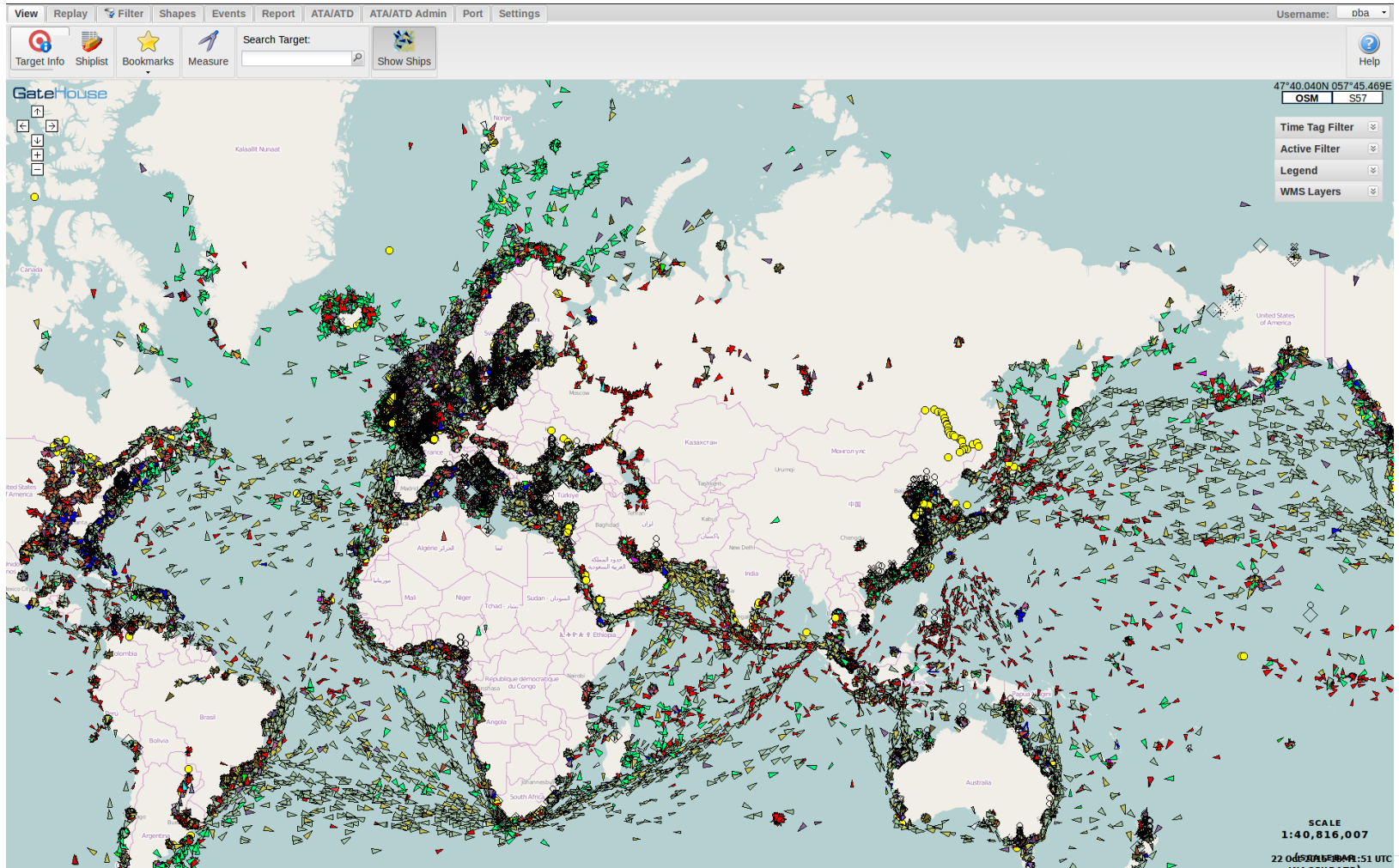
Provided in cooperation with our Canadian partner, exactEarth.

- 5 satellites in orbit
- 6 earth stations
- Less than 2 hour global revisit

# World wide coverage



# World wide coverage (>100.000 vessels)





# **IWRAP Mk2**

## **Background**

**GateHouse**

# History of the IWRAP Mk2 tool...

1998

GRACAT:  
developed  
DTU under  
the ISESO-  
project

2004

- Start of the BaSSy project

2006

- GateHouse joins the BaSSy project, work on the GRISK tool is started

2007

- IALA decides to replace IWRAP MkI with GRISK, name changed to IWRAP Mk2

2008

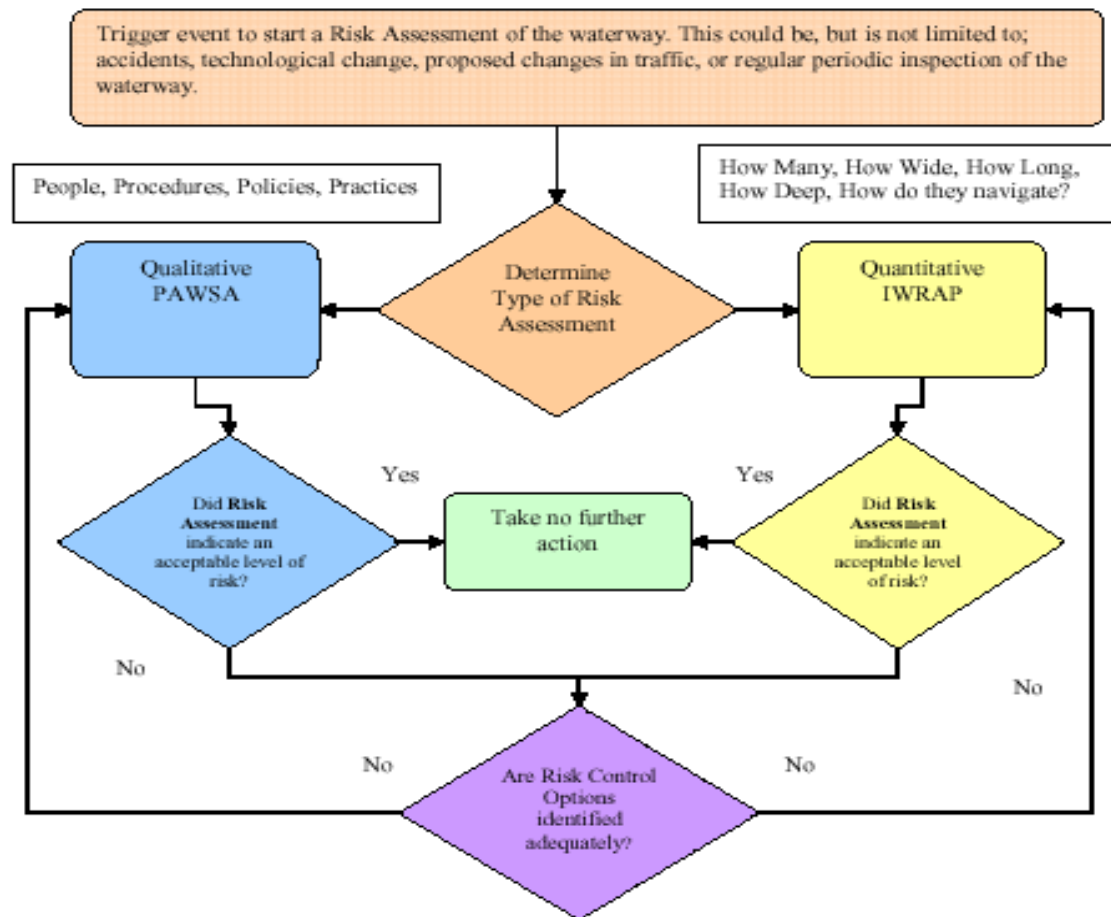
- IWRAP is validated in Baltic areas and compared to the COWI Algorithm

IWRAP Mk2  
part of IALA  
recom. O-134

2009

- IWRAP Mk2 is officially launched in a seminar in Kuala Lumpur, Malaysia

# O- 134 IALA Risk Management Tool for Ports and Restricted Waterway





## The IALA Risk Management Tool Steering Group

DK	Omar Frits Eriksson	(Chairman, dean of the IALA WWA)
	Michael Skov	(Head of DMA)
	Erik Sonne Ravn	(Analyst)
	Per Engberg	(Developer ++)
FI	Markus Porthin	(Analyst)
	Penti Kujala	(Professor)
UK	Roger Barker	(Trinity House)
DE	Knud Benedict	(Professor)
NO	Trond Langemyr	(Senior Adviser NCA)
FR	Jean Charles Leclair	(Admiral, former dean of the IALA WWA)
US	Burt Lahn	(Analyst, PAWSA expert, USCG)
AUS	Mahesh Almchandani	(Analyst, AMSA)
CH	Roger Gao	(Professor)



# IWRAP Mk2 Freemium license model

**Basic version free to use for all IALA members**

**Commercial version using AIS**

**Standard License (IALA members)**

Price per user for a one year license: 3500€

Following years: 2800€

**Standard License (non-IALA members)**

Price per user for a one year license: 6000€

Following years: 4500€

**Educational Institution License  
(Educational use only)**

Price per user for a one year license: 2900€

Following years: 2400€



# IWRAP Mk2

## Theory

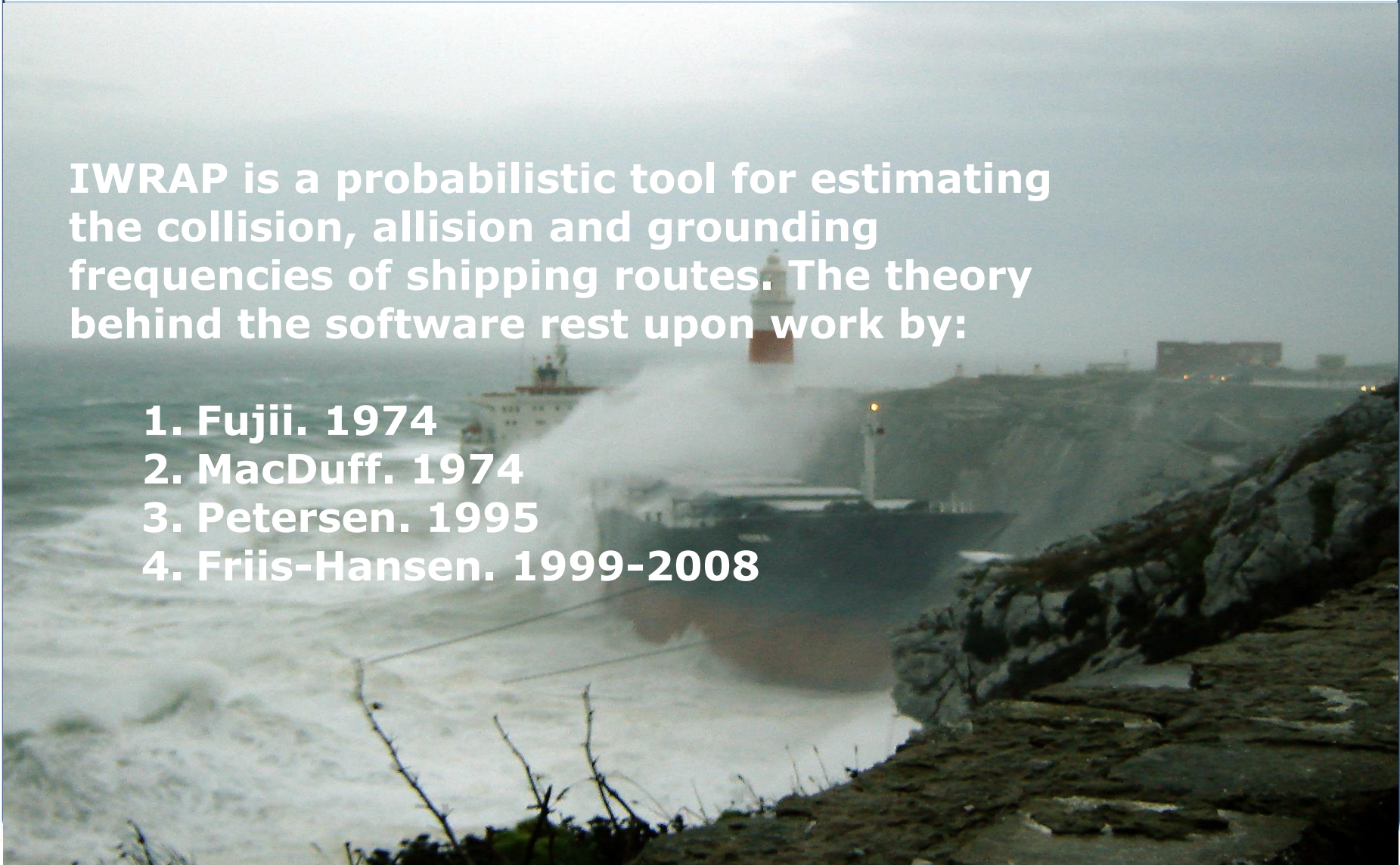


GateHouse

# Theoretical background

IWRAP is a probabilistic tool for estimating the collision, allision and grounding frequencies of shipping routes. The theory behind the software rest upon work by:

1. Fujii. 1974
2. MacDuff. 1974
3. Petersen. 1995
4. Friis-Hansen. 1999-2008



# The Basics

*Risk = Probability x Consequence*

$$\lambda_{\text{Col}} = P_C \cdot N_G$$

*Collision Frequency = Causation Factor x Geometrical Frequency*

# What may affect P<sub>c</sub>

80% of the P<sub>c</sub> is estimated to be coming from Human errors:

Although some postulate 100% ;-)

## Personal:

Inadequate training	Carelessness	Ego
Physical limitations	Wishful thinking	Laziness
Inadequate communication	Ignorance	Greed
Bad judgement	Negligence	Alcohol
Fatigue	Folly	Mischief
Boredom	Panic	Violations

## Organization:

Ineffective regulatory requirements	Production orientation	Inequitable promotion / recognition
Poor planning / training	Cost-profit incentives	Ineffective monitoring
Poor communications	Time pressures	Ego
Low quality culture	Rejection of information	Negative incentives
Low worker morale	Complex structure	Violations

Causes of Groundings

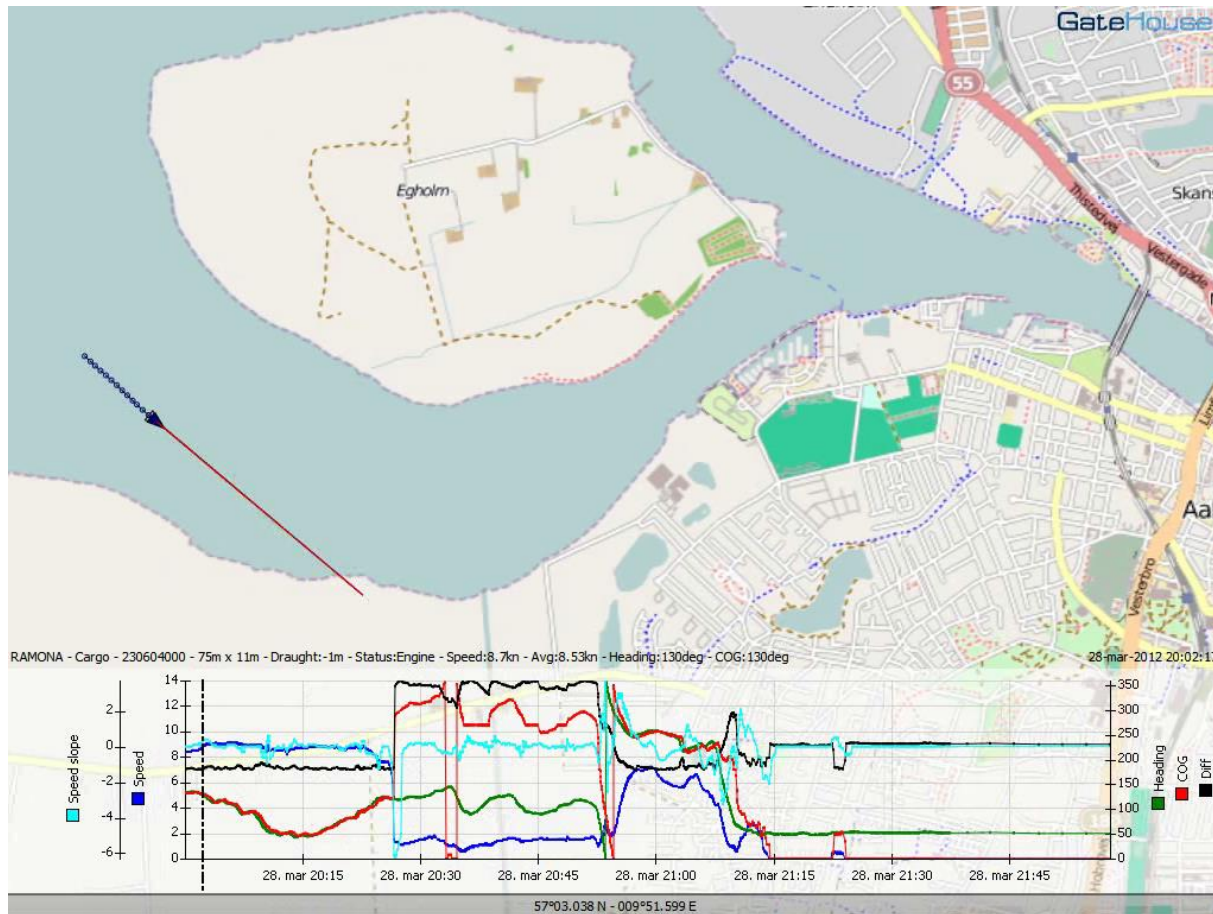


# Some "ships" seem to have higher $P_c$ than others...

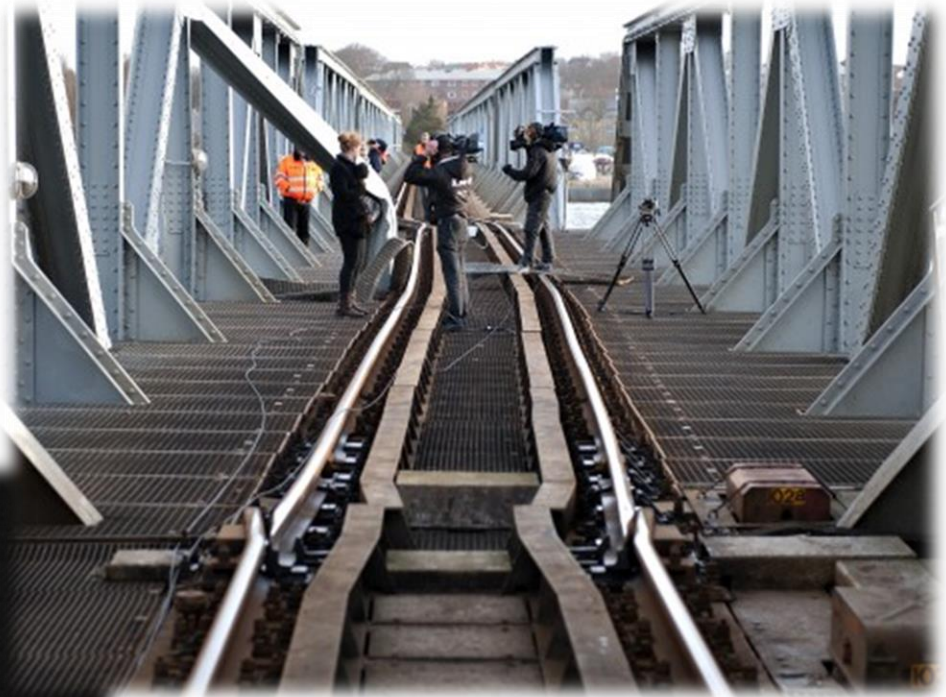
E.g. 3 Accidents in <4 years



# Pc Example...

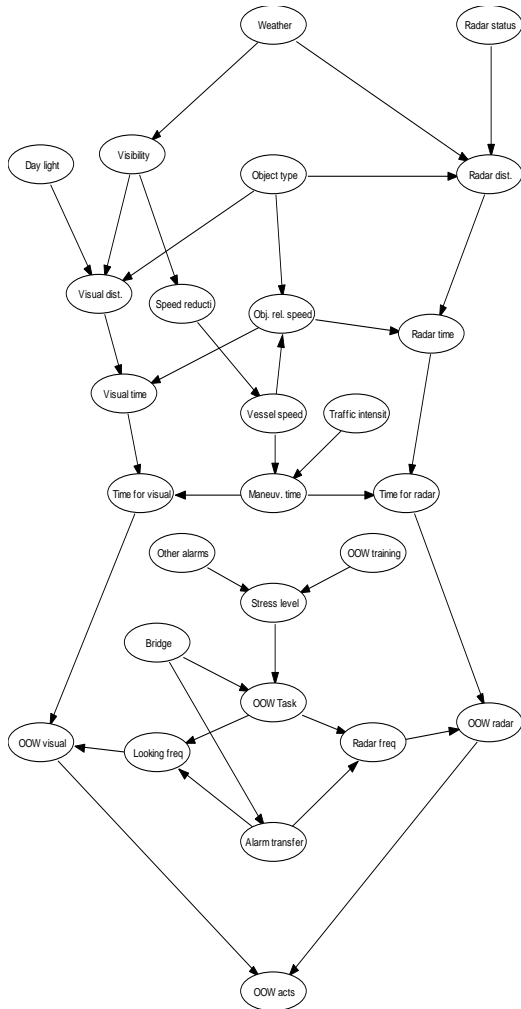


# Consequence

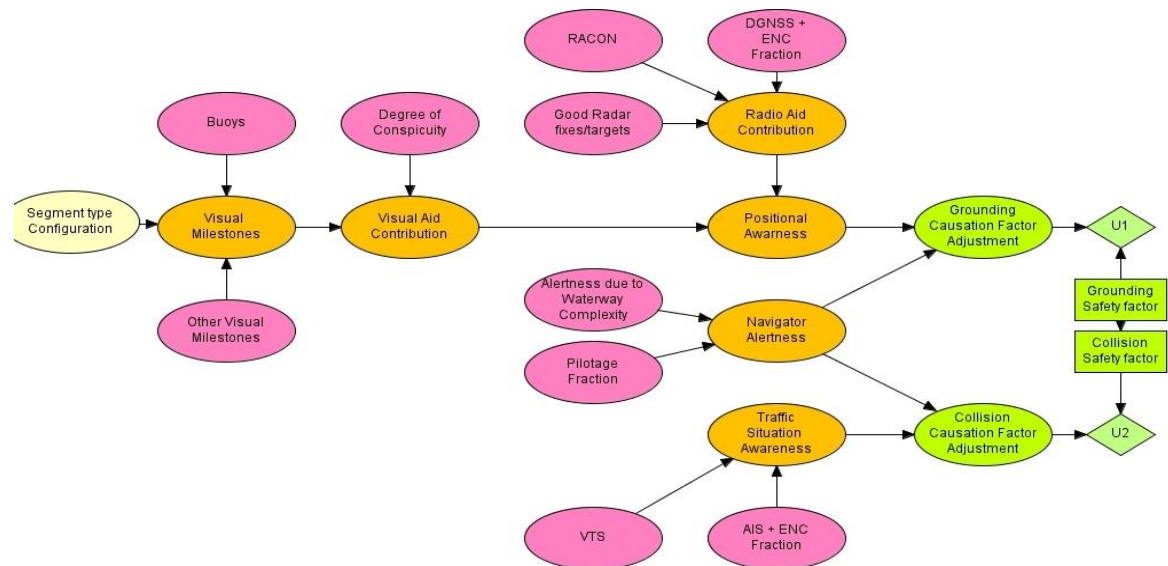




# Bayesian Network for Causation Factor



Work is in progress at IALA to define a Bayesian Network, These are just an examples...



# Causation Factors from Literature/studies...

Ship-ship collisions			
Location	$P_c$ [ $\times 10^{-4}$ ]	Comment	Reference: see [20] for ref.
Dover Strait	5.18	Head-on, no traffic separation	MacDuff [21]
Dover Strait	3.15	Head-on, with traffic separation	MacDuff [21]
Oresund, Denmark	0.27	Head on	Karlson <i>et al.</i> [19]
Japanese Straits	0.49	Head on	Fujii & Mizuki [9]
Japanese Straits	1.23	Crossings	Fujii & Mizuki [9]
Dover Strait	1.11	Crossings, no traffic separation	MacDuff [21]
Dover Strait	0.95	Crossings, with traffic separation	MacDuff [21]
Strait of Gibraltar	1.2		COWIconsult
Japanese Straits	1.10	Overtaking	Fujii & Mizuki [9]
Great Belt, Denmark	1.30	At bends in lanes	Pedersen <i>et al.</i> [24]
Danish waters	3.0	Head-on and overtaking Crossings also?	COWIconsult Oil and Chemical Spills, 2007

Vessel grounding			
Location	$P_c$ [ $\times 10^{-4}$ ]	Comment	Reference: see [20] for ref.
Japanese Straits	[1.0; 6.3]	Collisions and grounding	Fujii
Japanese Straits	1.58		Fujii & Mizuki [9]
Japanese Straits	[0.8; 4.3]		Matsui
Dover Strait	1.55	No traffic separation	MacDuff [21]
Dover Strait	1.41	With traffic separation	MacDuff [21]
Strait of Gibraltar	2.2		COWIconsult
Oresund, Denmark	2.0		Karlson <i>et al.</i> [19]

# Types of Incidents in IWRAP

1. Head-on
2. Overtaking collision
3. Crossing, merging & bend collision
4. Area traffic collision (ships not on routes, e.g. fishing)
5. Powered grounding
6. Drifting grounding

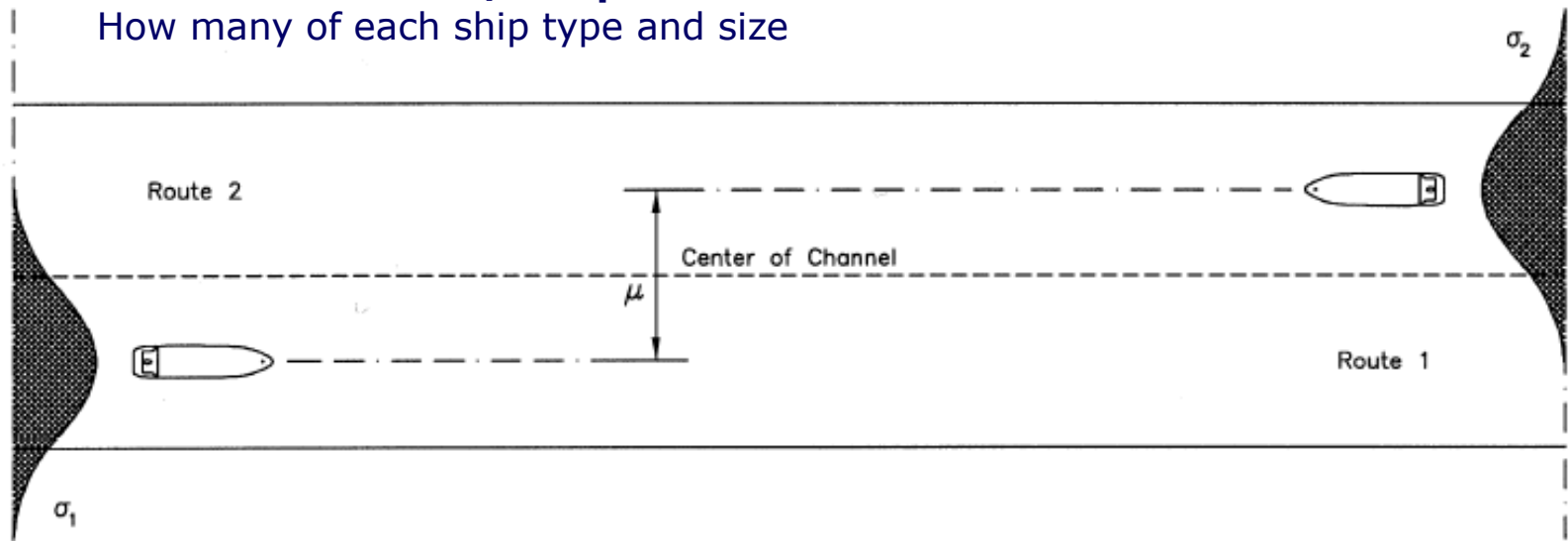


# Modelling of collisions, e.g. Head-on

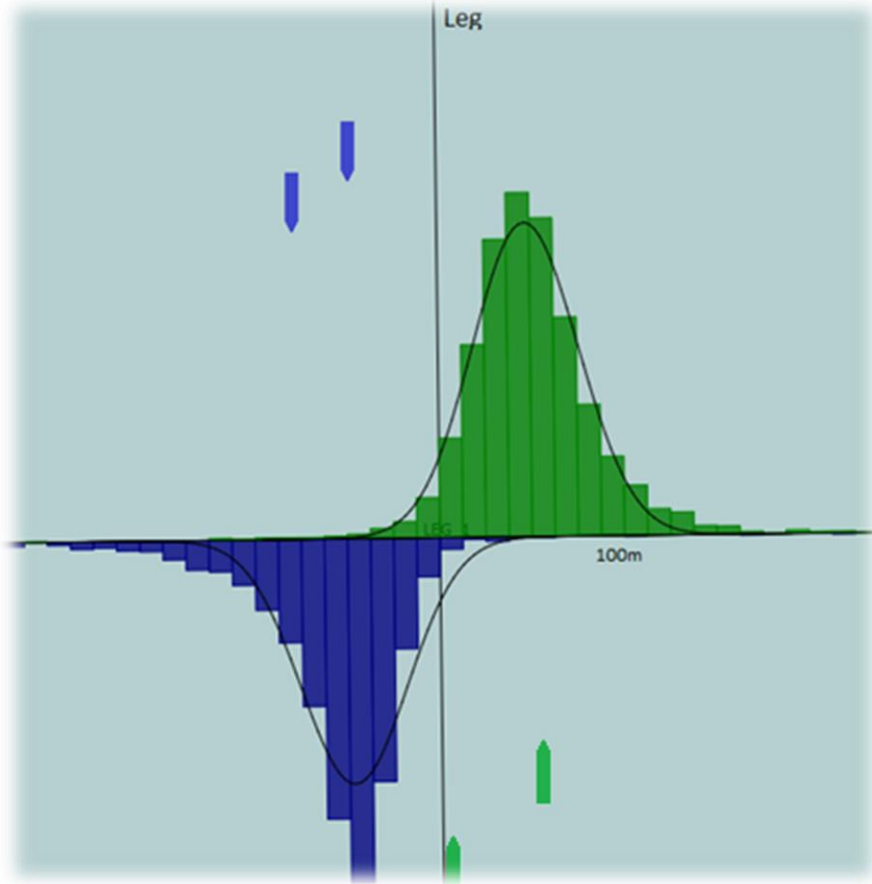
$$\lambda_{\text{col}} = P_C \cdot N_G$$

Calculate the geometrical frequency  $N_G$  using:

- **Lateral distribution,**  
Identifies where ships move on the fairway/leg
- **Traffic distribution/composition**  
How many of each ship type and size



# Ship Distributions



Divide the passage line into intervals. Count the number of ships passing through each interval. This gives a histogram. A probability function (Normal) can then be fitted to the histogram.

Normal distribution ( $\mu=380$  m,  $\sigma^2=230$  m)

The probability that a 50 m wide ship is touching the leg,  $x=0$ :

$$P(x < -50) = 0.03; P(x < 50) = 0.08$$

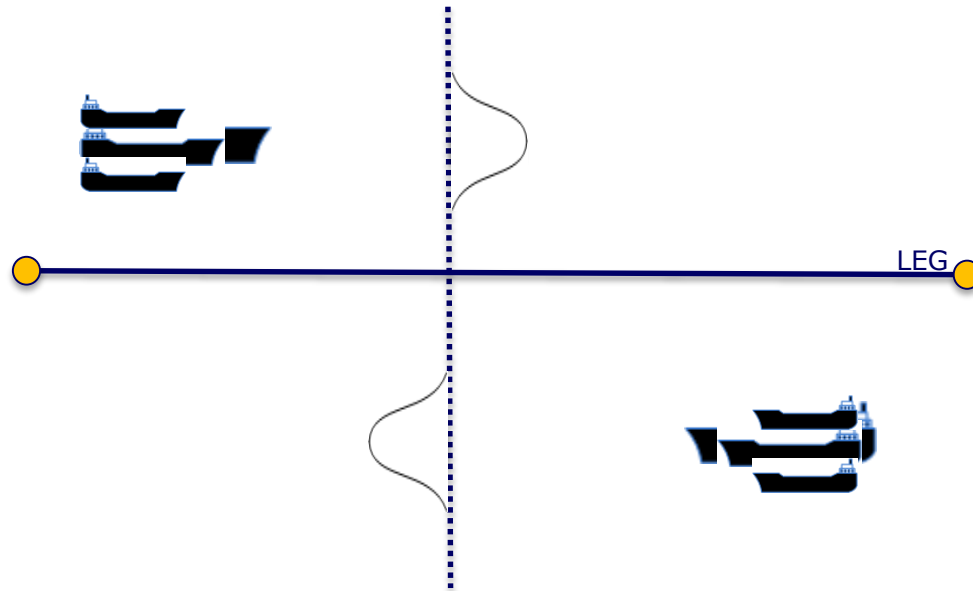
$$P(-50 < x < 50) = 0.05$$

The probability that it will be at  $x=380$  m is:

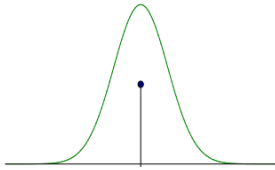
$$P(x < 330) = 0.41; P(x < 430) = 0.59;$$

$$P(330 < x < 430) = 0.18$$

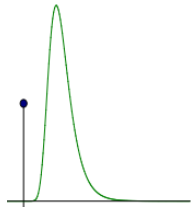
# Lateral Distributions...



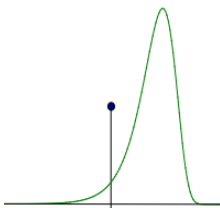
# Distributions in IWRAP



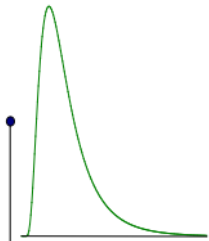
**Normal distribution**  
(Mean, std. dev.)



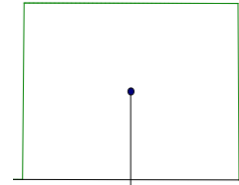
**Gumbel maximum**  
(Mean, std. Dev.)



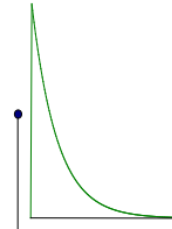
**Gumbel minimum**  
(Mean, std. dev)



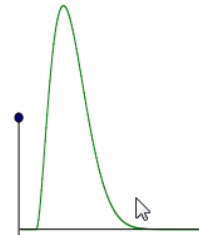
**Log normal**  
(Mean, std. dev, lower bound)



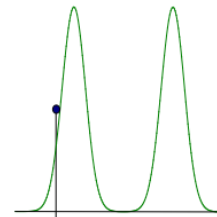
**Uniform distribution**  
(lower-, upper bound)



**Weibull**  
(Mean, std. dev, lower bound)



**Beta distribution**  
(Mean, std. dev, lower bound, upper bound)



Any combination  
Here 2 normal dist.

# Mixed Distributions in IWRAP

Any number of any type of distribution can be mixed,

A combination of a number Normal and Uniform distributions is in most cases sufficient

The screenshot shows the 'Leg Editor' window for 'LEG\_4'. It features a central diagram with a blue line and two points. The interface is divided into 'West Bound' and 'East Bound' configuration panels. The 'West Bound' panel includes a table for distribution parameters, an 'Add...' button, a 'Remove' button, an 'Input Method' dropdown, and a table for parameter values. The 'East Bound' panel has a similar layout but includes a 'Uniform' distribution entry in its table. Both panels have a 'Scale factor' of 1,000. The bottom of the window has a 'Display: Both' dropdown and 'OK' and 'Cancel' buttons.

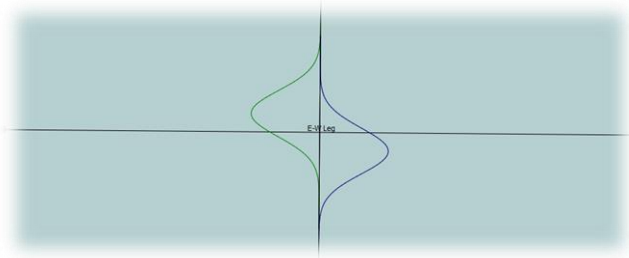
Distribution	Parameters
Normal	Weight=1.00, Mean=500,00, Std. Dev.=200,00
Normal	Weight=1.00, Mean=-500,00, Std. Dev.=200,00
Uniform	Weight=0.50, Lower Bound=-250,00, Upper Bound=2...

	Value
Weight	0.50
Lower Bound	-250,00 m
Upper Bound	250,00 m

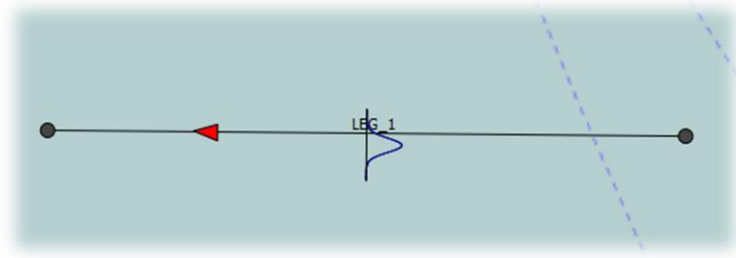


# Collision modelling

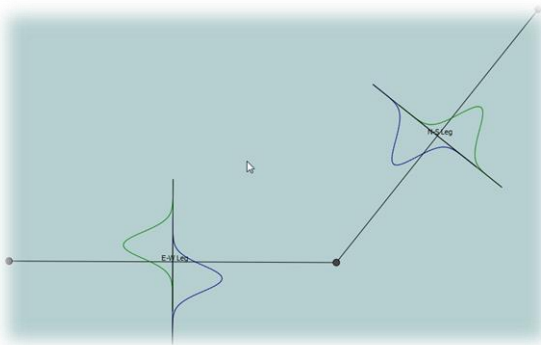
Head-on



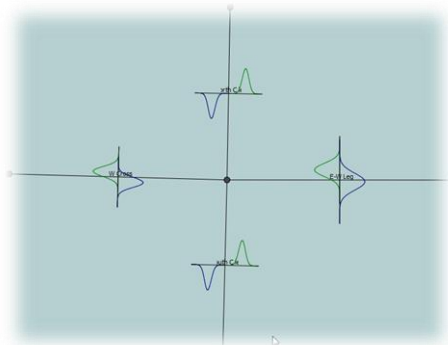
Overtaking



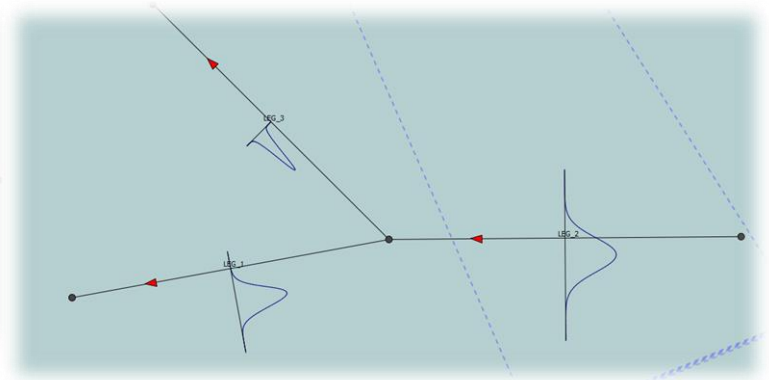
Bend



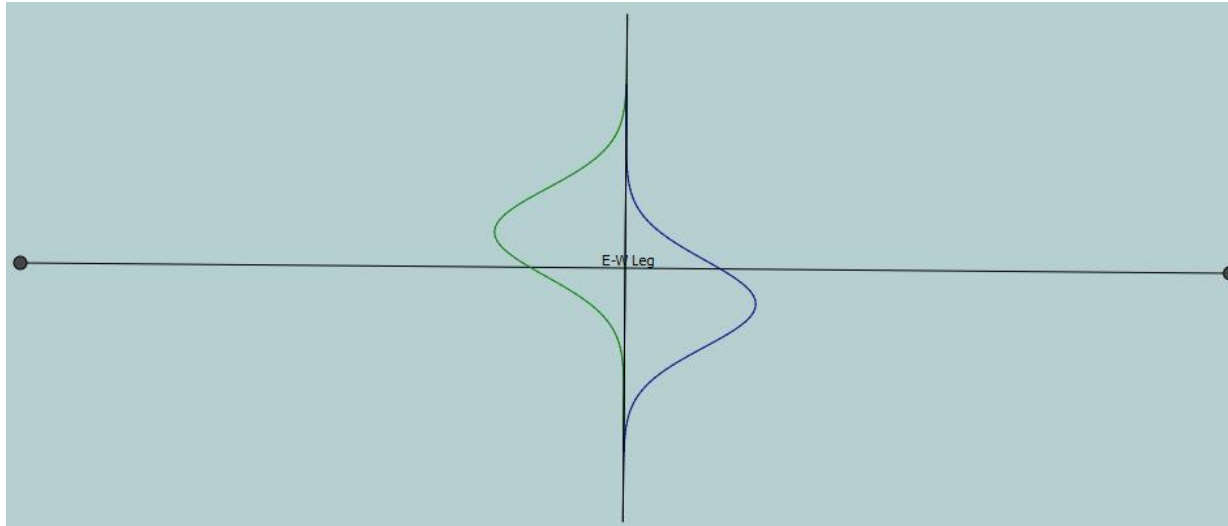
Crossing



Merging

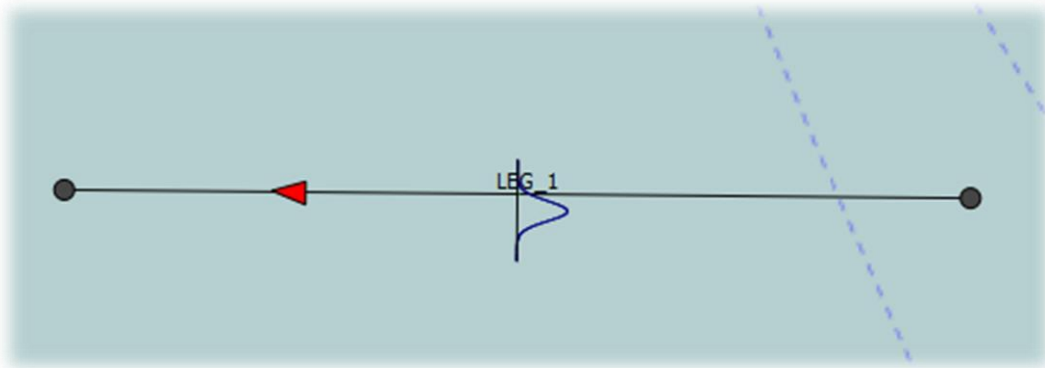


## Test case A<sub>1</sub>: Head-on



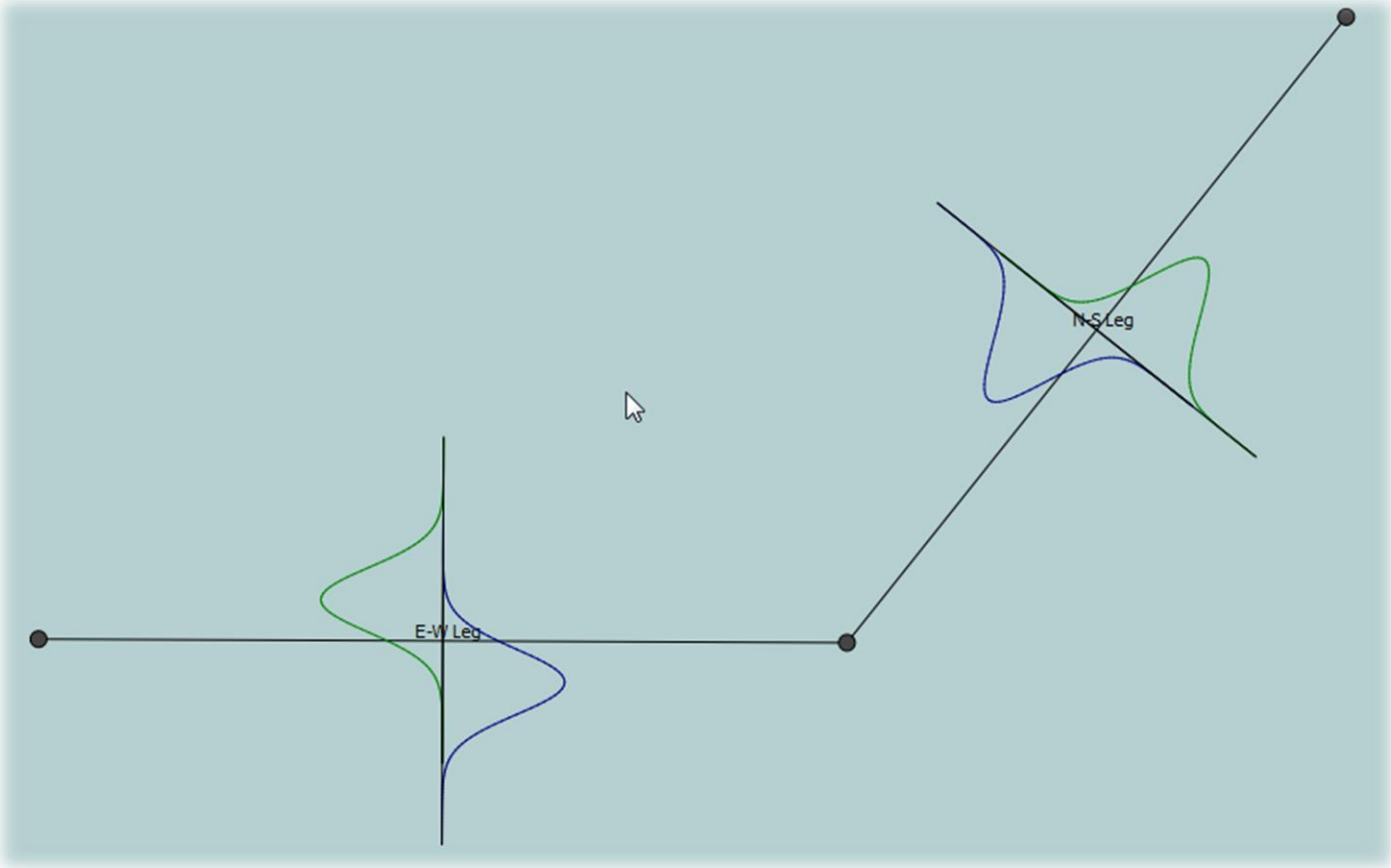
Risk reducing measures:  
-Separate traffic

## Test case A<sub>2</sub>: Overtaking

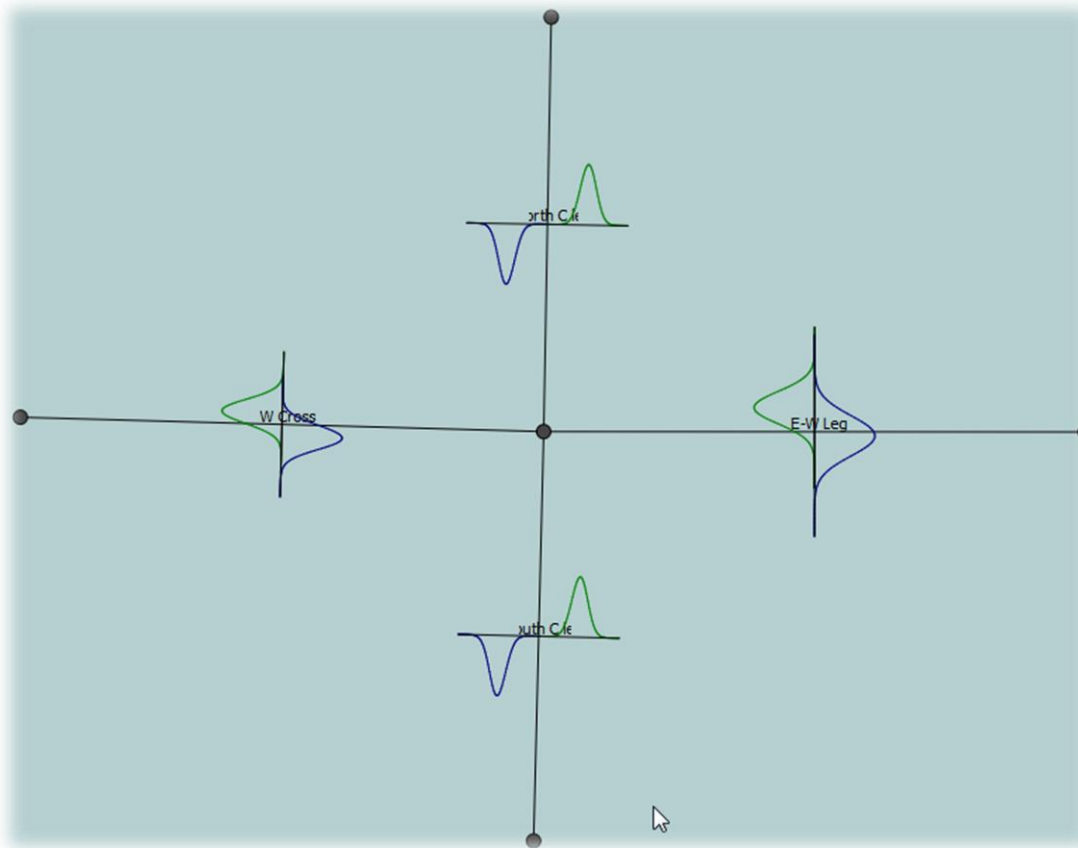


Add 2 different types with different mean speeds.  
Look at Struck/Striking results...

# Test case B: Bend collisions

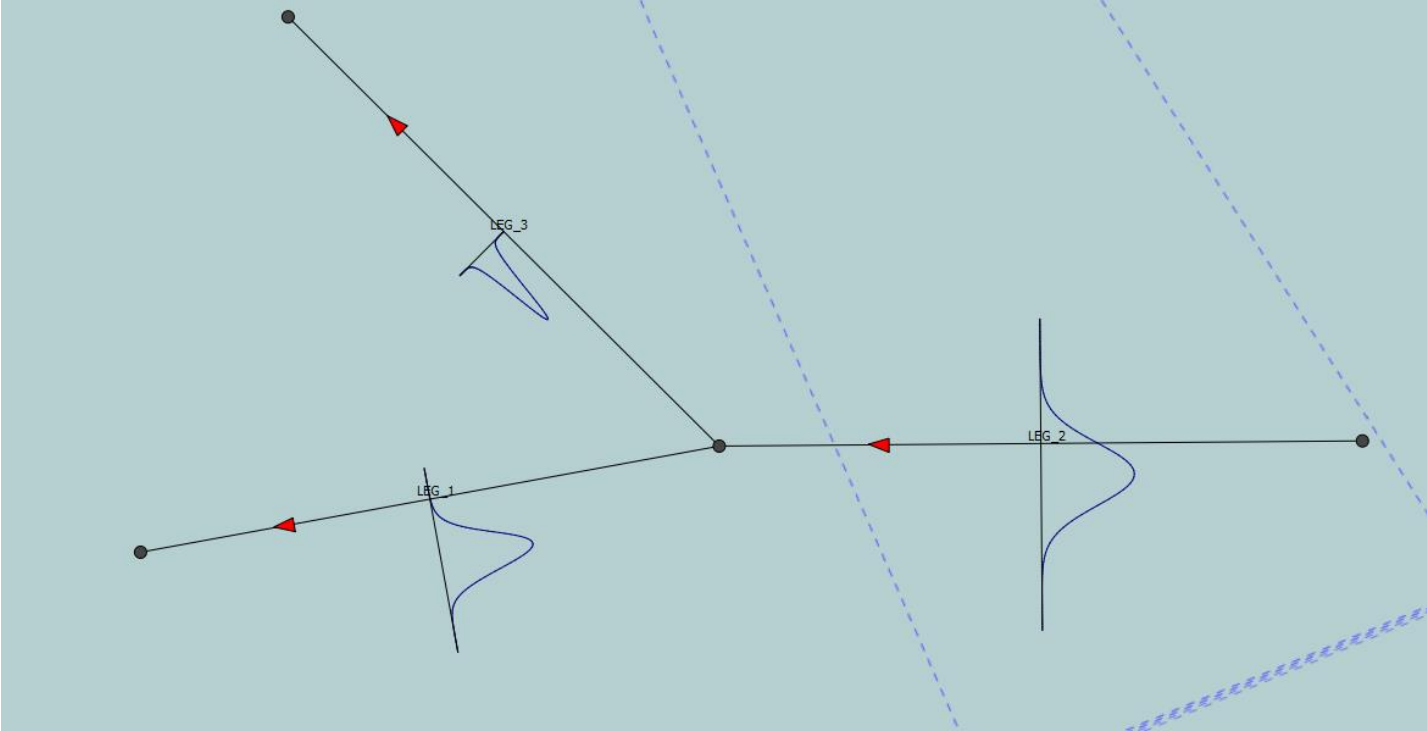


# Test case C: Crossing collisions



Ensure only crossing! (adjust waypoint)

# Test case D: Merging



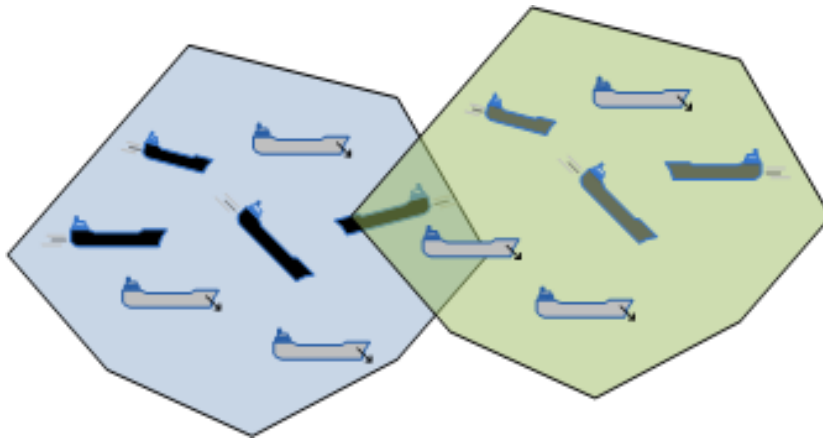


# Area Collisions

Area Traffic: A number of areas with different "Traffic Area Composition".

A "Traffic Area Composition" consists of a number of "Traffic Area Elements".

A composition can have several elements and a model can have several areas.



**Traffic Area Element**

Tag (optional)

Ship type: Fishing ship

Ship length: 25

Number of ships: 100

Visit days pr year: 150 day(s)

Visits pr day: 1,00 visit(s) pr day

Movement: 6 (  Hours /  Minutes ) pr visit

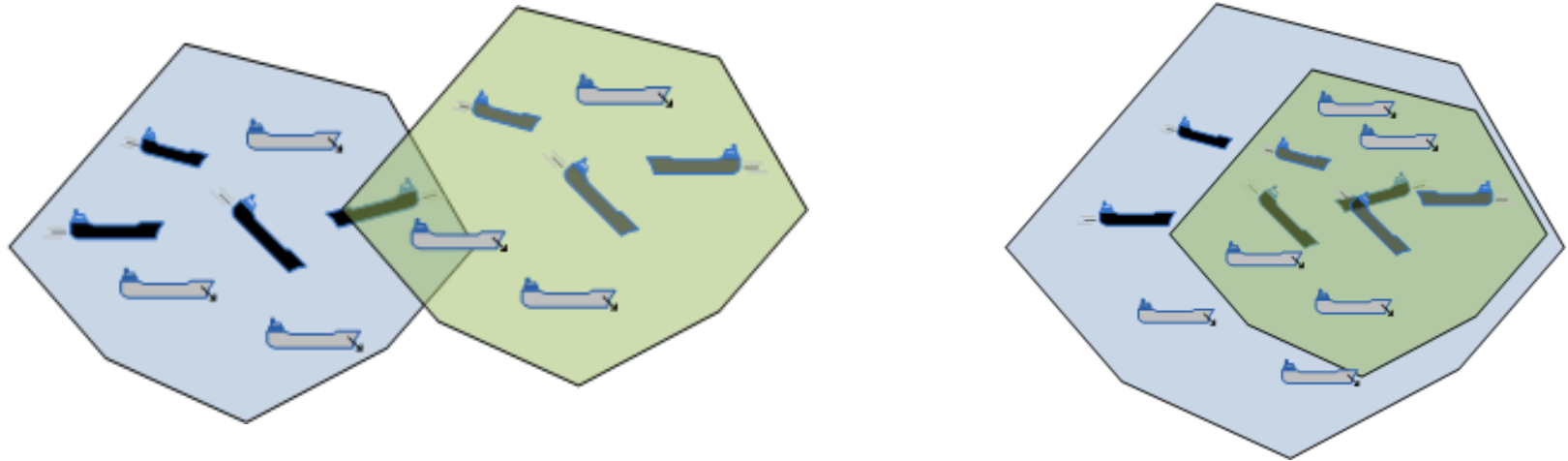
Stationary: 2 (  Hours /  Minutes ) pr visit

OK Cancel



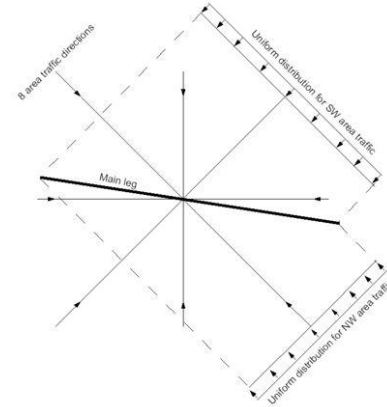
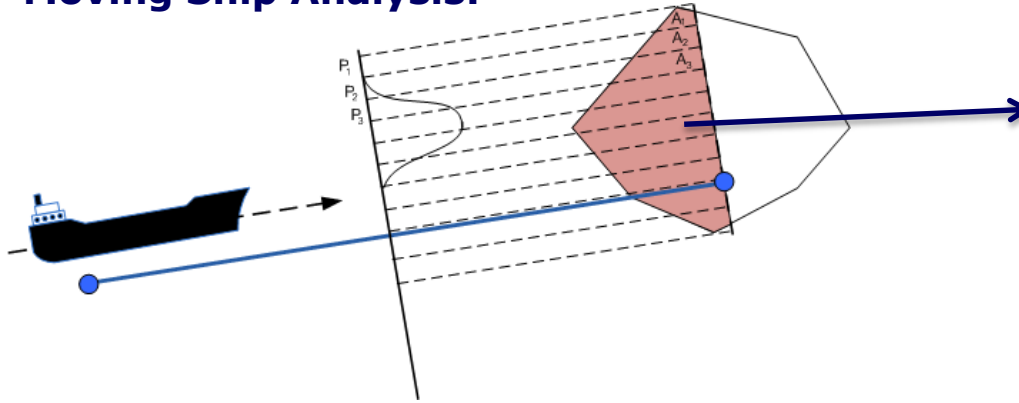
# Area Collisions

Areas may overlap, can be used to e.g. model different fishing level intensities.

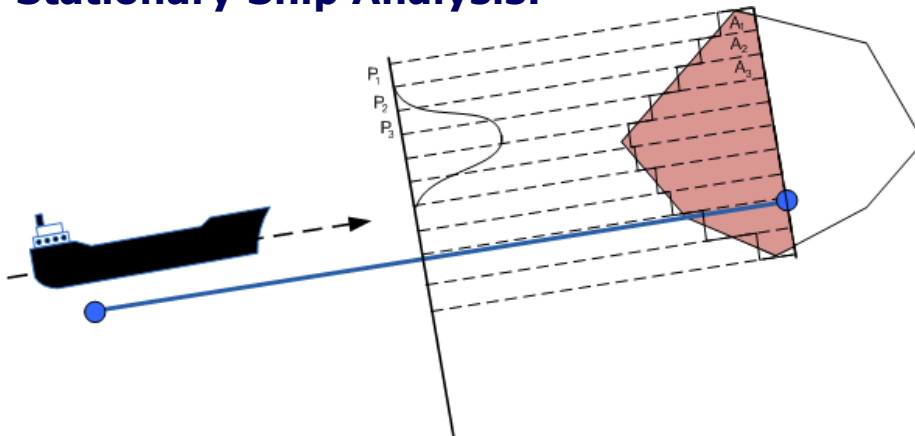


# Area Collisions

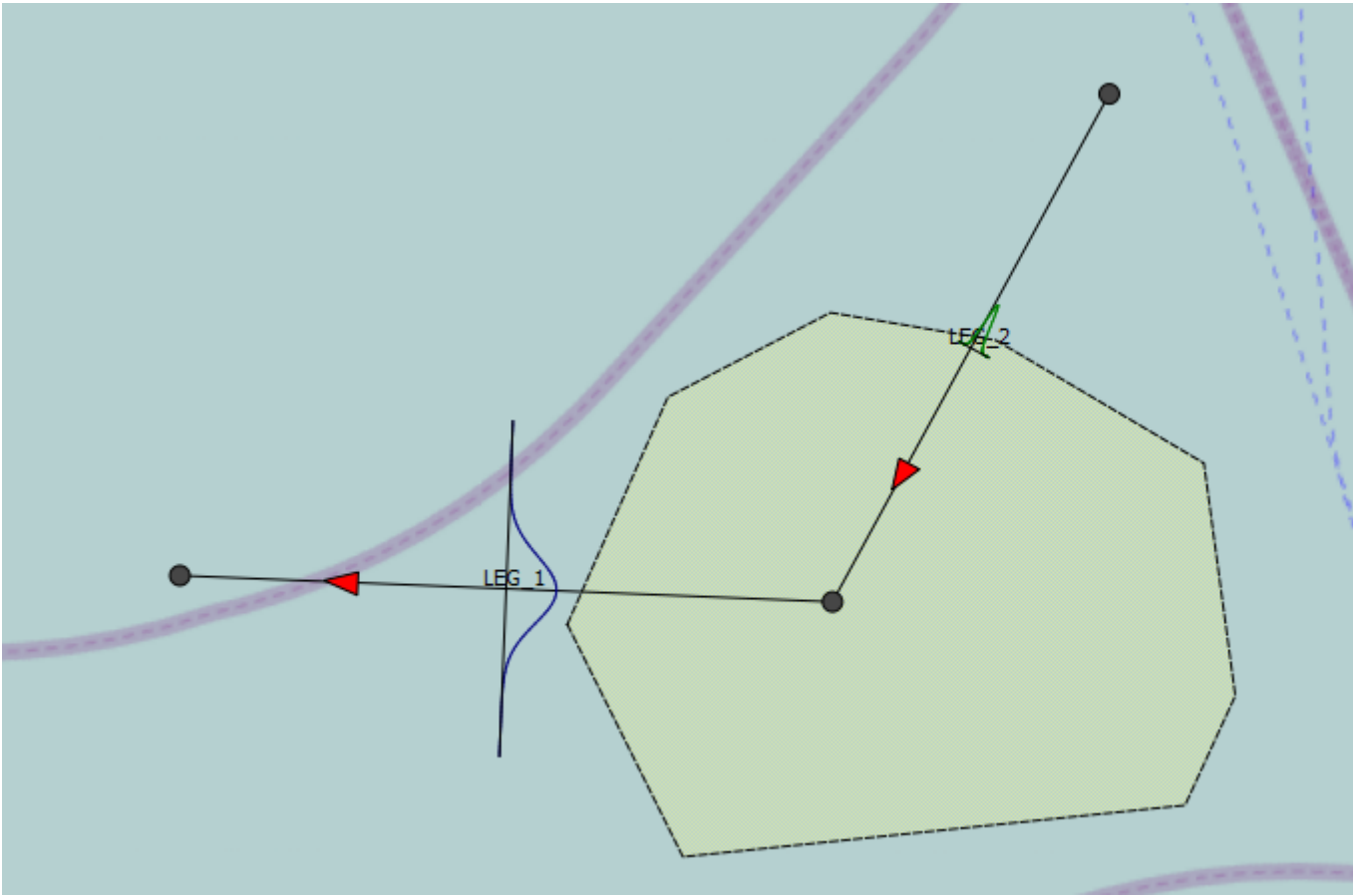
## Moving Ship Analysis:



## Stationary Ship Analysis:

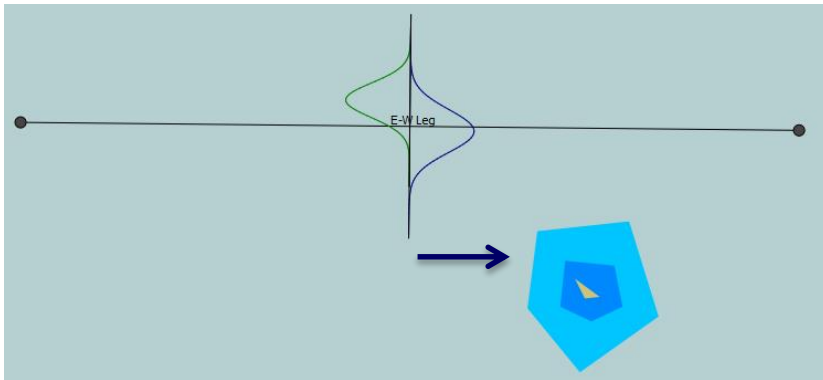


# Test case G: Area collisions

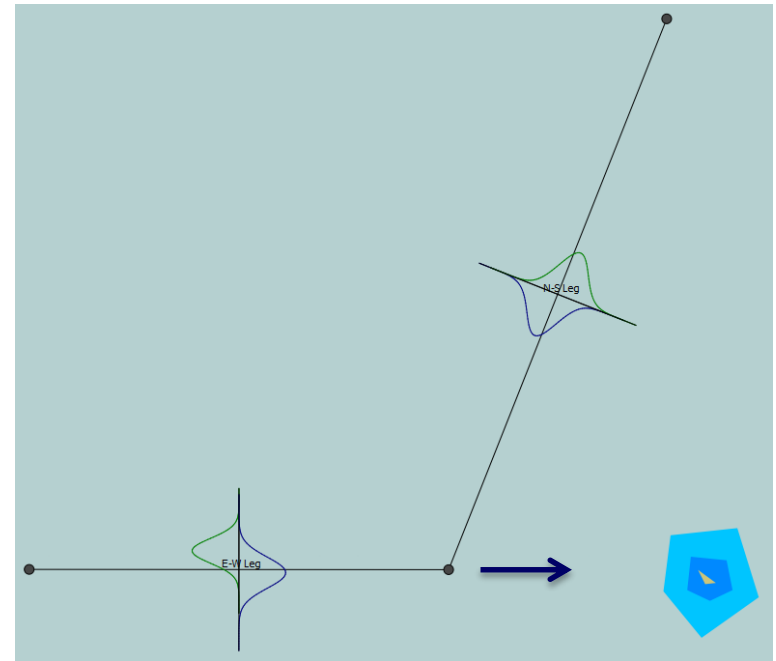


# Powered Grounding Categories

Category I



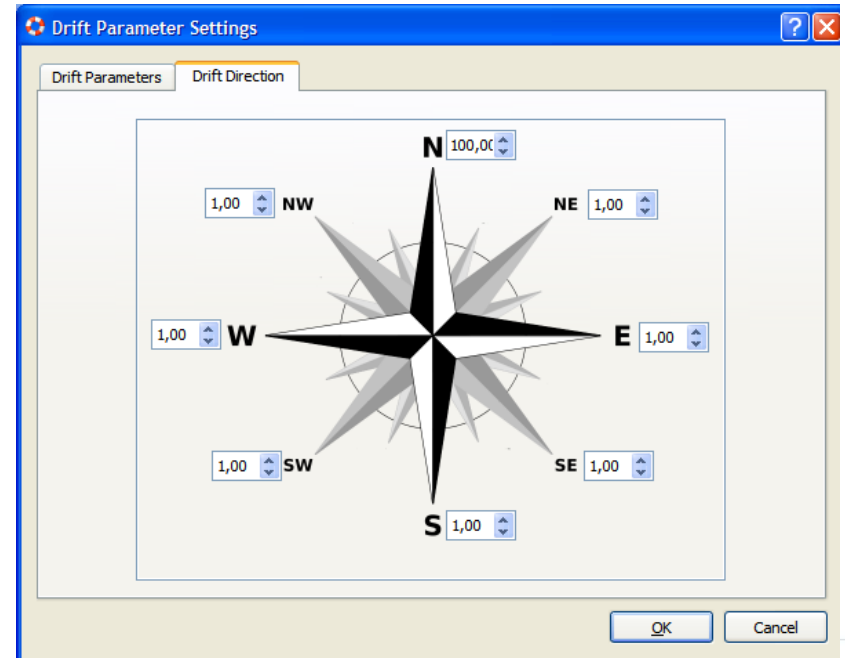
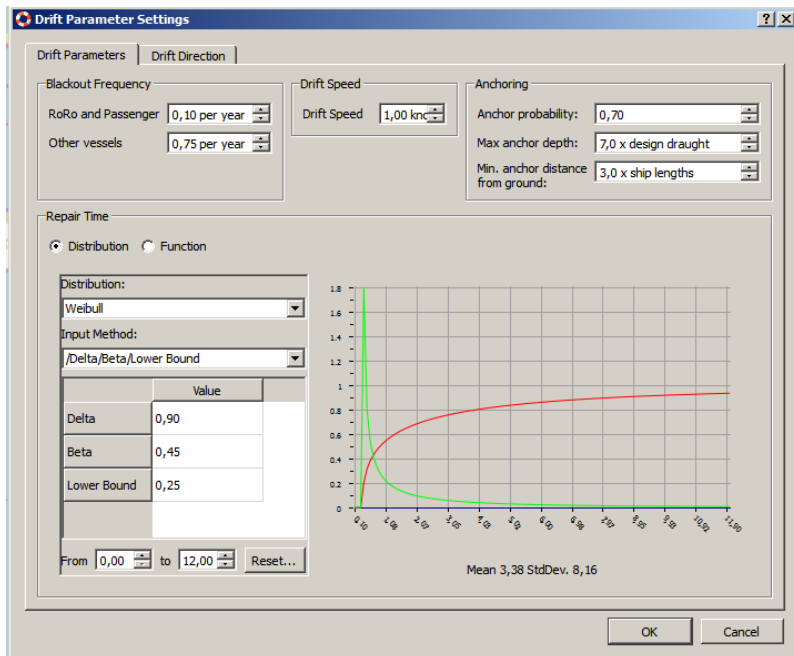
Category II



<http://www.smp.no/nyheter/article9699729.ece>

# Drifting Grounding

1. Failure/"blackouts" of propulsion machinery may occur at any location along the leg/waterway. This is in IWRAP modeled as a Poisson process.
2. It is possible to use an overall drift direction specification or to do it per leg.
3. The "Repair time", i.e. for how long time the vessel will drift.



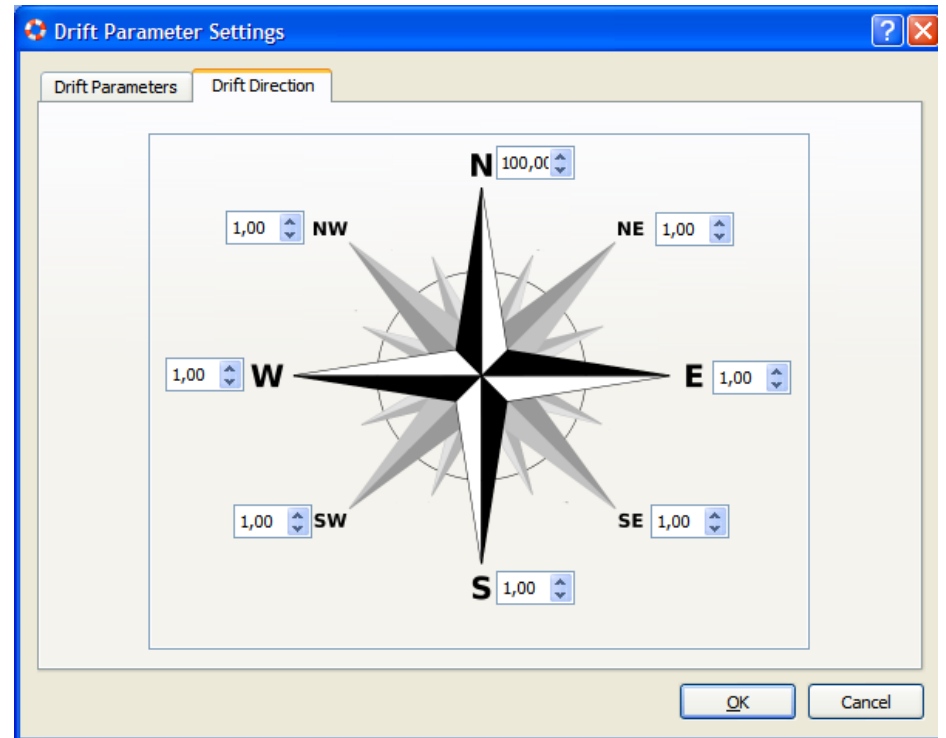
# Drift Direction

$$N_{\text{grounding}}^{\text{drift}} = N_{\text{ship}} \int_{\psi=0}^{360} P_{\text{drift}}(\psi)$$

$P_{\text{drift}}(\psi)$  defines the probability of drifting in direction  $\psi$

$$P_{\text{drift}}(N) = \frac{100}{7 \cdot 1 + 100} = 0.93$$

$$P_{\text{drift}}(S) = \frac{1}{7 \cdot 1 + 100} = 0.01$$



# Drifting Grounding: Repair Time

The default repair time distribution is modeled as a Weibull distribution,

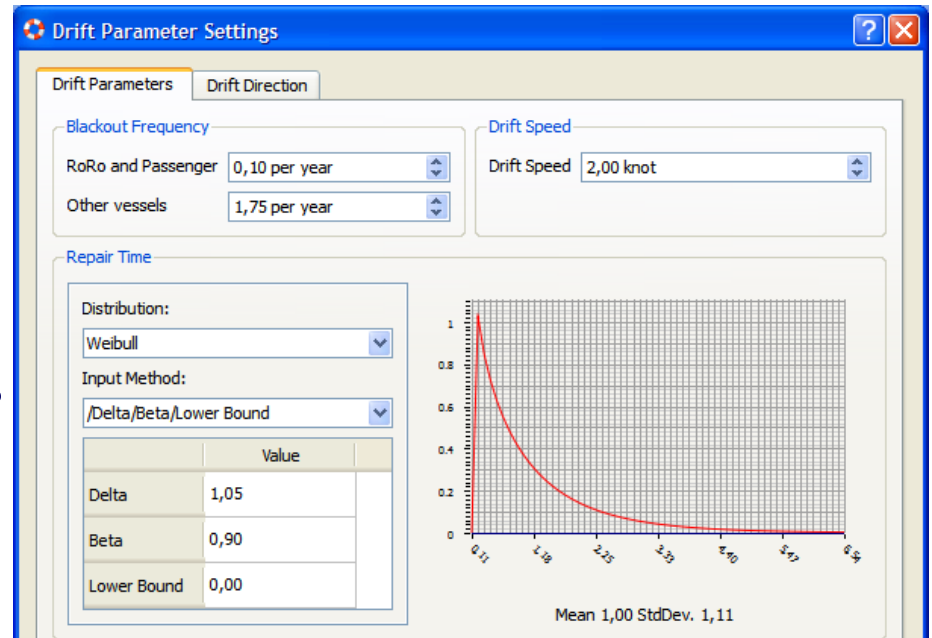
$$F_{\text{no repair}}(t) = \exp(-at^b)$$

with scale parameter  $a = 1.05$  and shape parameter  $b = 0.9$ , which gives a mean value of 1 hour and standard deviation of 1.13 hour.

The time to grounding is defined as

$$t_{\text{ground}} = d_{\text{ground}} / v_{\text{drift}}$$

in which  $v_{\text{drift}}$  is the (uncertain) drifting speed and  $d_{\text{ground}}(x)$  defines the distance from the leg segment to the ground.



The background of the slide is a composite image. The top portion features a dark blue world map. The right side shows a blurred, perspective view of an airport terminal with a person walking. The main area is white with a blue border.

# **IWRAP Mk2**

## **Using AIS Data**

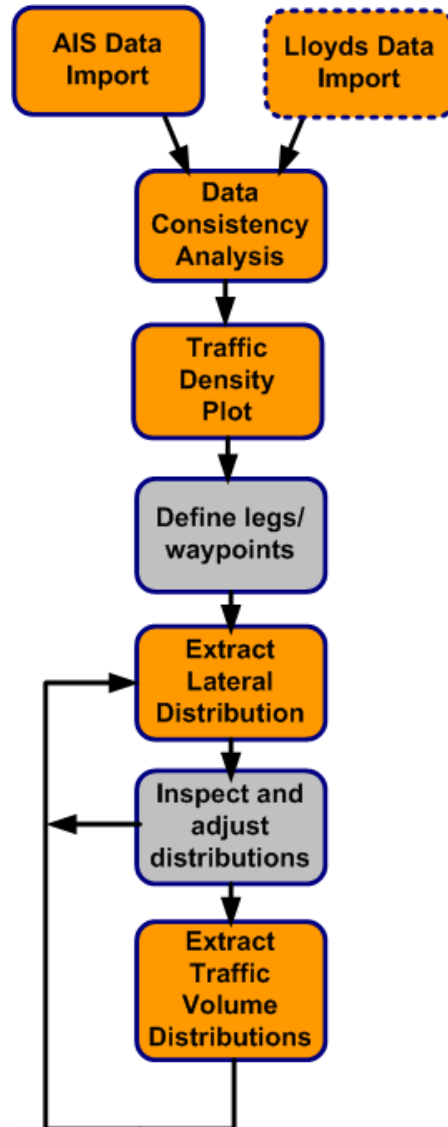
**GateHouse**



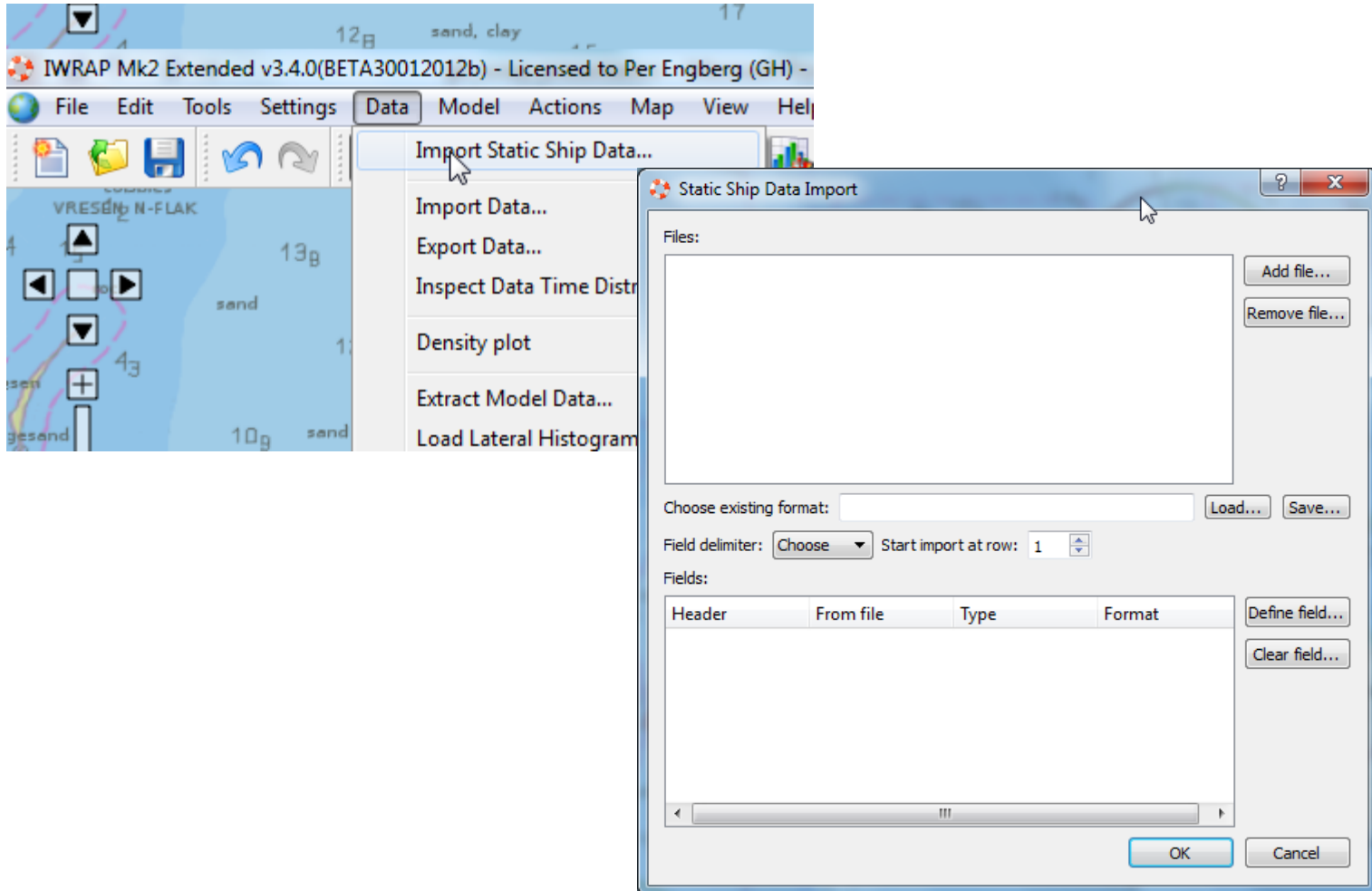
# The Basics

- 1. Import Static Ship Data if available**
- 2. Import AIS data in the correct format**
- 3. Create density plot**
- 4. Chart overlay**
- 5. Draw legs**
- 6. Extract model data. Vol., distributions. etc.**
- 7. Create depth curves**
- 8. Run model and do what if analysis**

# Using AIS data



# Import Static Ship Data (if available)



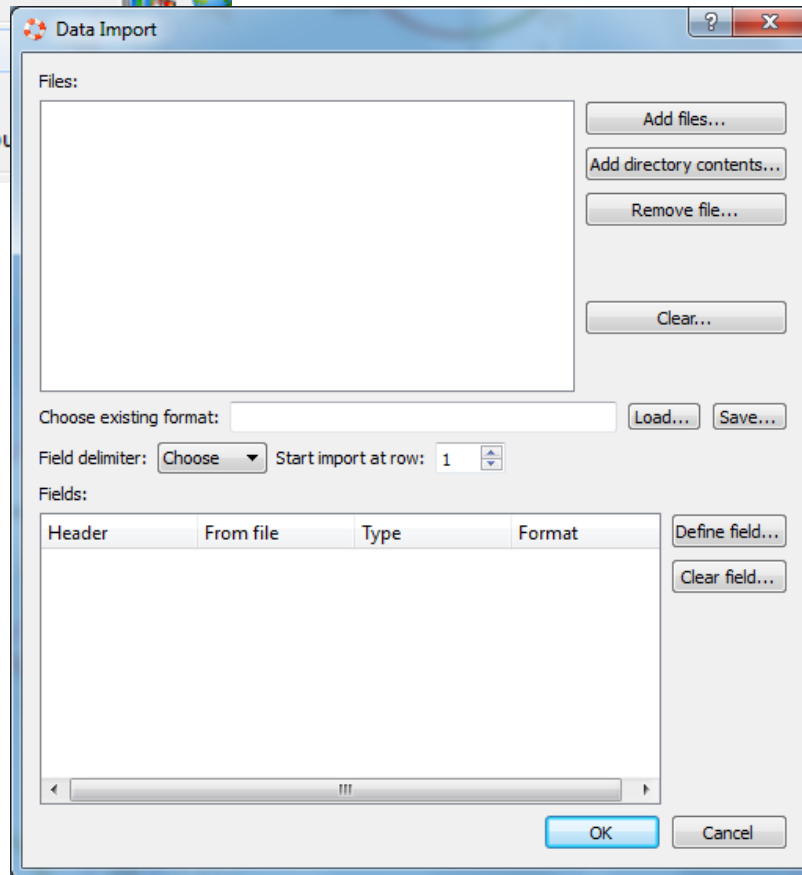
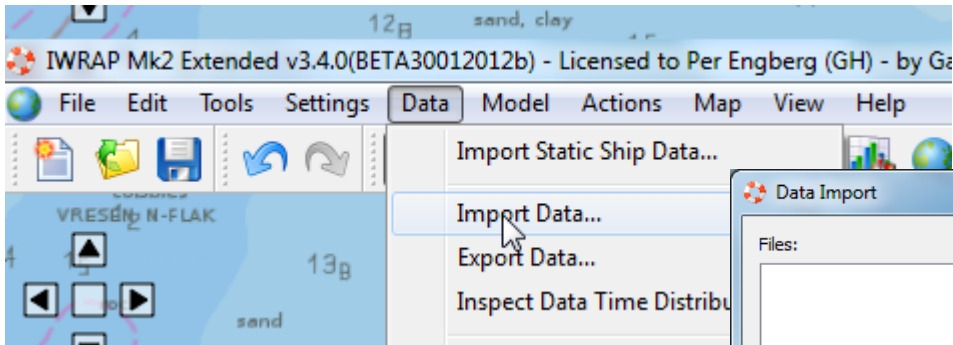
# AIS to IWRAP Ship Types (1371.1)

Identifiers to be used by ships to report their type			
Other ships			
First digit(1)	Second digit(1)	First digit(1)	Second digit(1)
1 - Reserved for future use	0 - All ships of this type	-	0 - Fishing (Fishing ship)
2 - WIG (Other ship)	1 - Carrying DG, HS, or MP, IMO hazard or pollutant category A	-	1 - Towing (Support ship)
3 - See right column	2 - Carrying DG, HS, or MP, IMO hazard or pollutant category B	3 - Vessel	2 - Towing and length of the tow exceeds 200 m or breadth exceeds 25 m (Support ship)
4 - HSC (Fast ferry)	3 - Carrying DG, HS, or MP, IMO hazard or pollutant category C	-	3 - Engaged in dredging or underwater operations (Support ship)
5 - See above	4 - Carrying DG, HS, or MP, IMO hazard or pollutant category D	-	4 - Engaged in diving operations (Support ship)
	5 - Reserved for future use	-	5 - Engaged in military operations (Other ship)
6 - Passenger ships (Passenger ship)	6 - Reserved for future use	-	6 - Sailing (Pleasure boat)
7 - Cargo ships (General cargo ship)	7 - Reserved for future use	-	7 - Pleasure craft (Pleasure boat)
8 - Tanker(s) (Oil products tanker)	8 - Reserved for future use	-	8 - Reserved for future use
9 - Other types of ship	9 - No additional information	-	9 - Reserved for future use

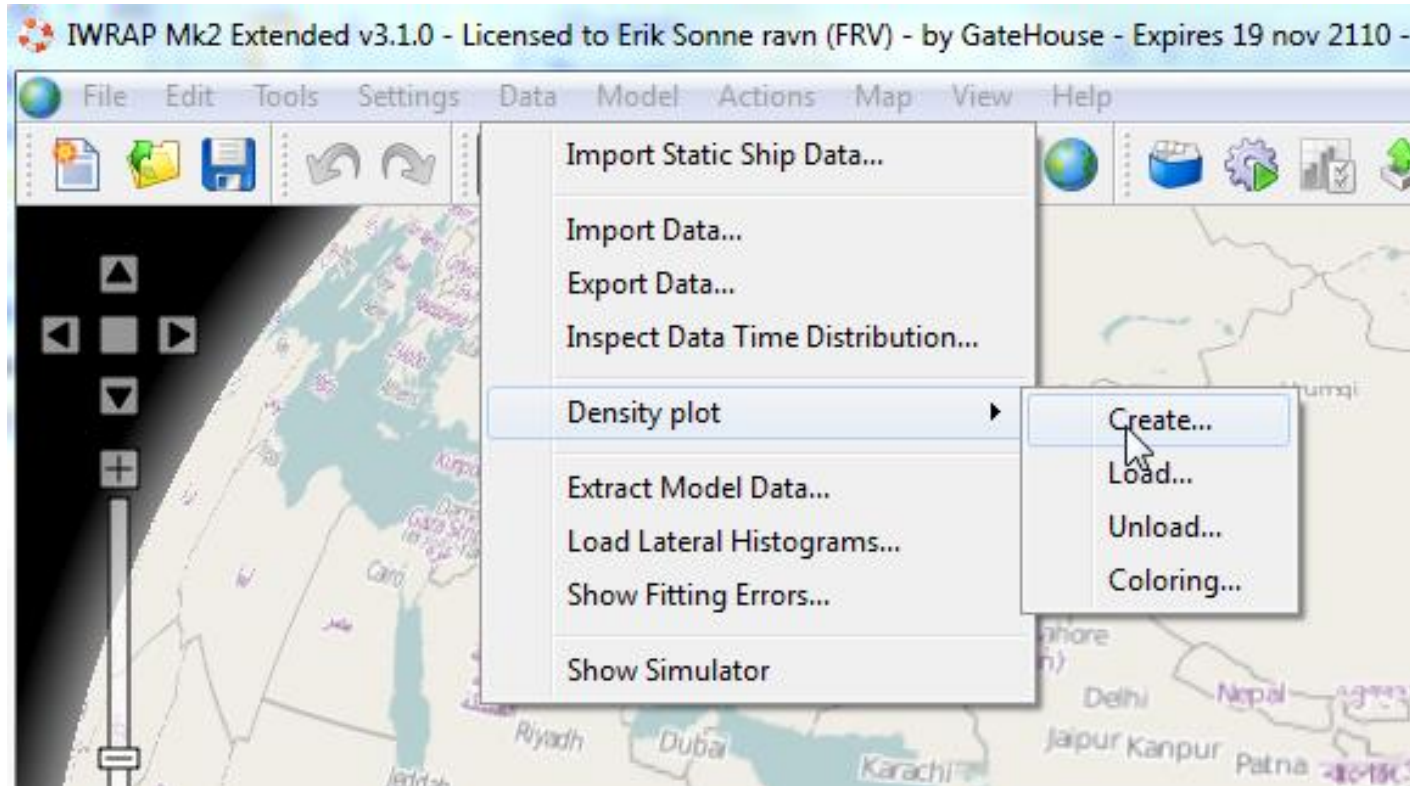
## AIS to IWRAP Ship Types (part 2)

Identifiers to be used by ships to report their type	
Identifier No.	Special craft
50	Pilot vessel ( <b>Support ship</b> )
51	Search and rescue vessels ( <b>Support ship</b> )
52	Tugs ( <b>Support ship</b> )
53	Port tenders ( <b>Support ship</b> )
54	Vessels with anti-pollution facilities or equipment ( <b>Other ship</b> )
55	Law enforcement vessels ( <b>Other ship</b> )
56	Spare - for assignments to local vessels
57	Spare - for assignments to local vessels
58	Medical transports ( <b>Other ship</b> )
59	Ships according to RR Resolution No. 18 (Mob-83) ( <b>Other ship</b> )

# Import Data



## 2. Generate density plot



## 2. Generate density plot

**Create Traffic Density Plot**

**Dataset**  
Location: C:/FRV/Projects/IWRAP/Models/Hatter/Dataset

**Result**  
Location: C:/FRV/Projects/IWRAP/Models/Hatter/result

**Parameters**

Density cell size: 100 m    Max time: Disabled

Min distance: 10 m    Min calculated speed: 1.0 kn

Max distance: Disabled    Max calculated speed: 60.0 kn

Geographical boundary

North 00°02.290 N

West 000°15.214 W     East 000°06.847 W

South 00°02.566 S

Copy boundary from map

Direction

**Progress**

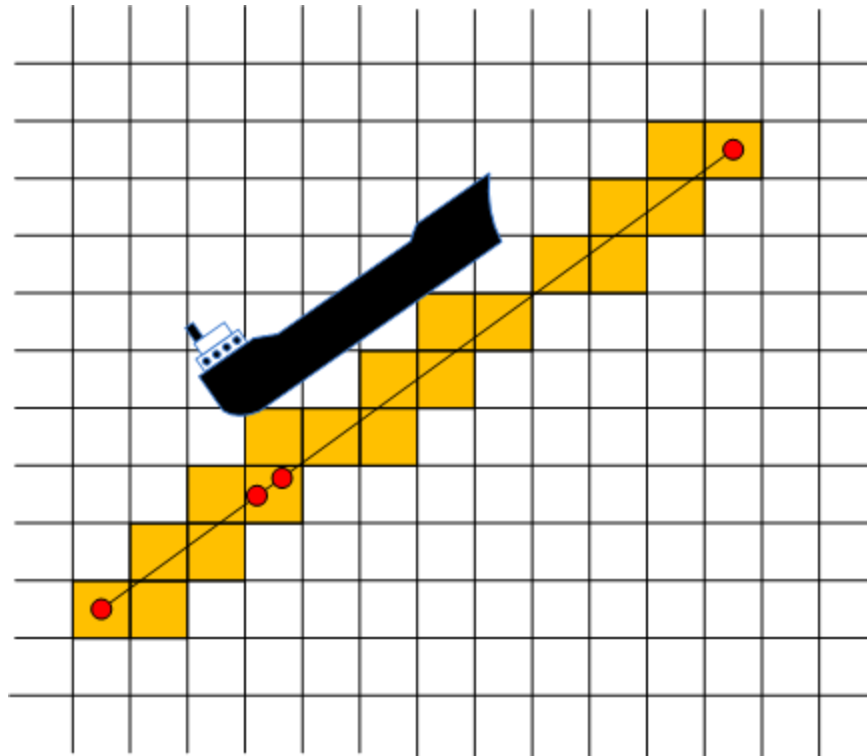
Total: 0%

Start    Close

Dicipline here! Or you could end up using the wrong data

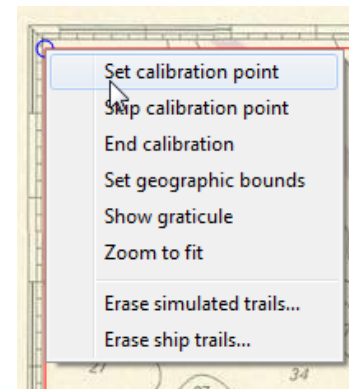
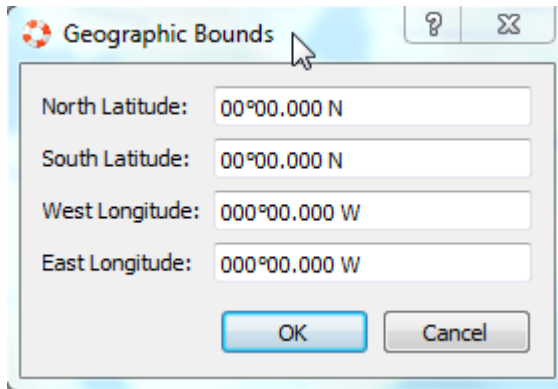
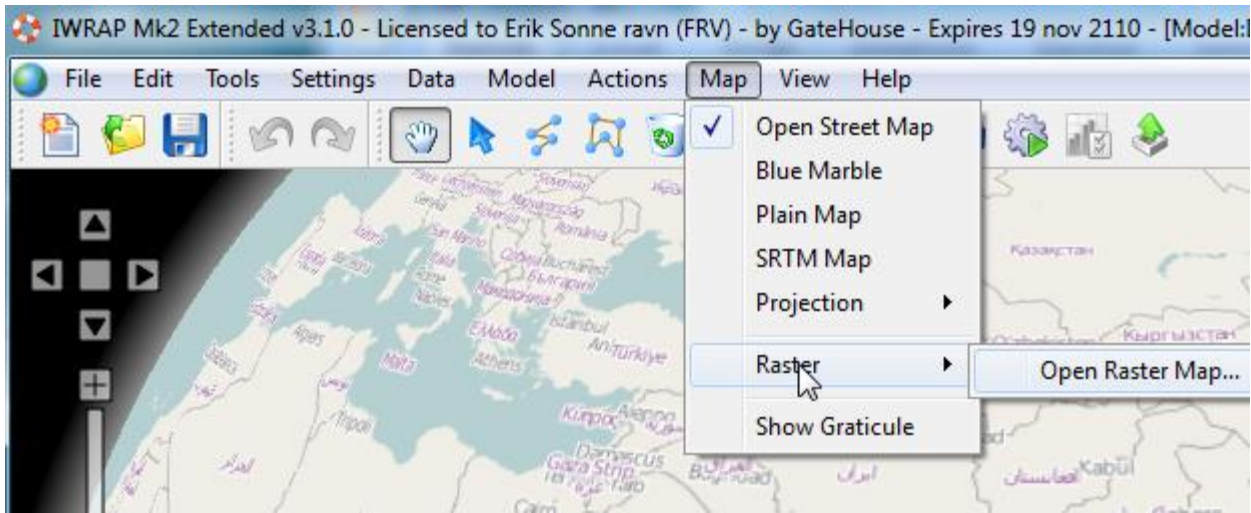


## 2. Traffic density



Each cell only "hit" once and interpolation is used

# 3. Overlay of raster charts



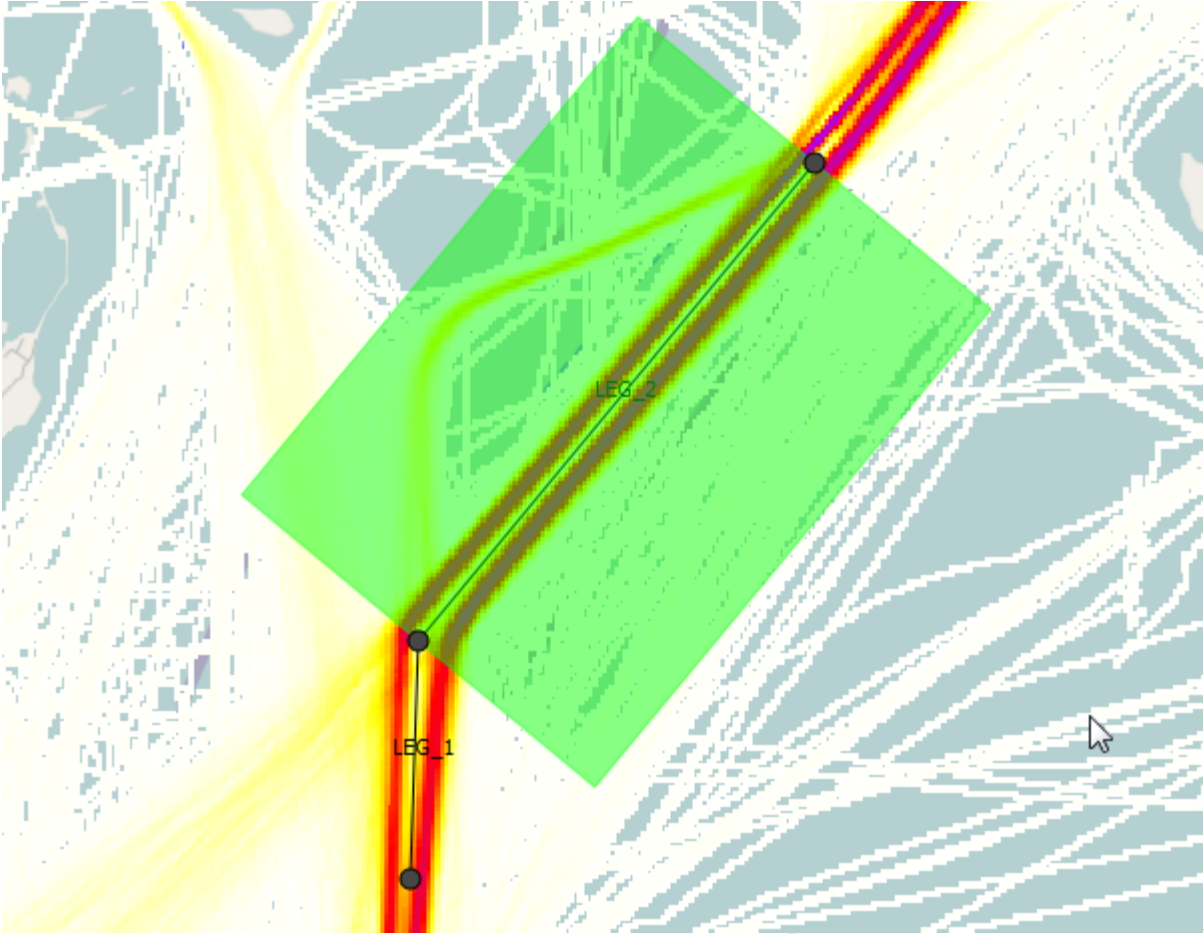
Use right click

# 3. Overlay of Web Map Service layers

The screenshot displays the IWRAP MK2 Extended v3.4.0(BETA30012012b) interface. The main map area shows a coastal region with various seabed features and depth contours. Two windows are open over the map:

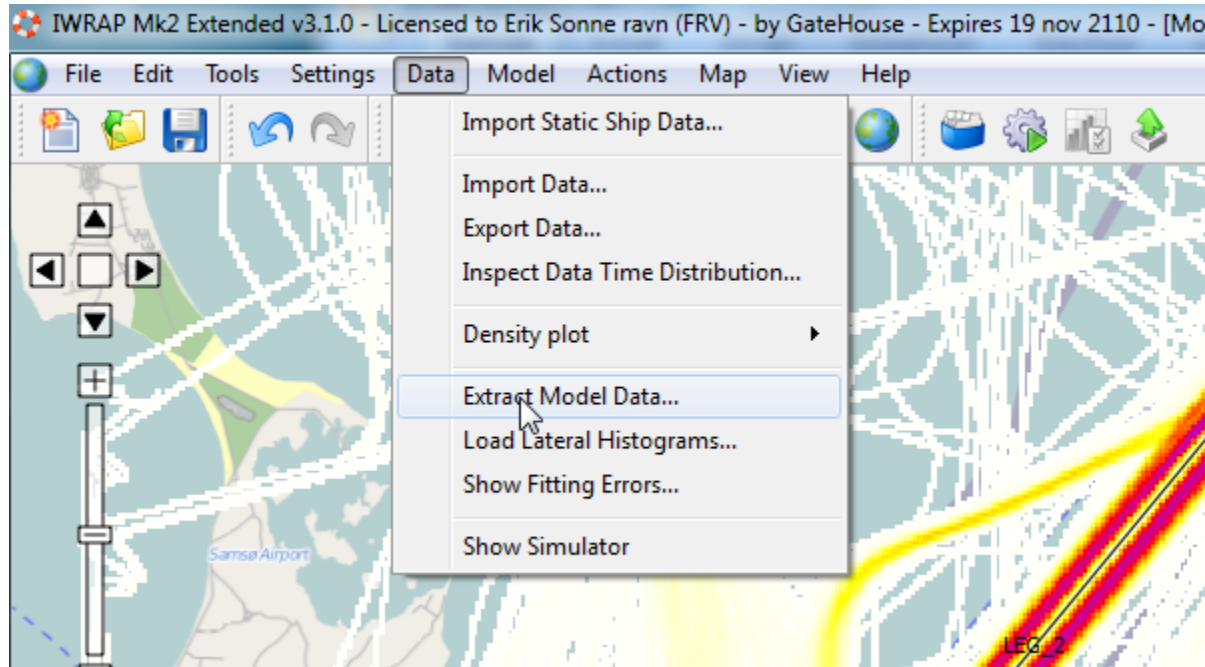
- WMS Layers:** A list of available layers with checkboxes. The 'DK KMS' layer is selected and checked. Other layers include 'No WMS layer', 'NS/DC', 'WORLDMAP', 'CZ', and 'local'. A 'Transparency' slider is visible below the list.
- WMS Configuration:** A dialog box for configuring the selected 'DK KMS' layer. It contains the following fields:
  - Name: DK KMS
  - Host: kortforsyningen.kms.dk
  - Port: default
  - Path: /soe\_enc
  - Version: 1.1.1
  - Layers: cells
  - Max tile diagonal distance: Disabled
  - Geographical boundary:  (checked)
  - North: 58°32.880 N
  - West: 000°34.924 E
  - South: 53°21.208 N
  - East: 018°24.154 E
  - Outside label: (empty)
  - Frame boundary cells:  (unchecked)
  - Base request: JOX&SIZE&exceptions=application/vnd.ogc.se\_inimage&ignoreillegalayers=TRUE&format=image/IMAGEFORMAT

# 4. Create legs



Adjust the width of the legs

## 5. Extract model data



## 5. Extract model data

Wait with this only the legs have been located

Extract Model Data

Dataset  
Location: C:/FRV/Projects/IWRAP/Models/Hatter/Dataset

Result  
Location: C:/Users/esr/AppData/Local/Temp/data

Parameters  
Angle: 10 deg  
Bin size: 100 m  
Max time: 900 s  
Min calculated speed: Disabled  
Max calculated speed: 60.0 kn  
Max distance: 4000 m  
 Use calculated geographical boundary

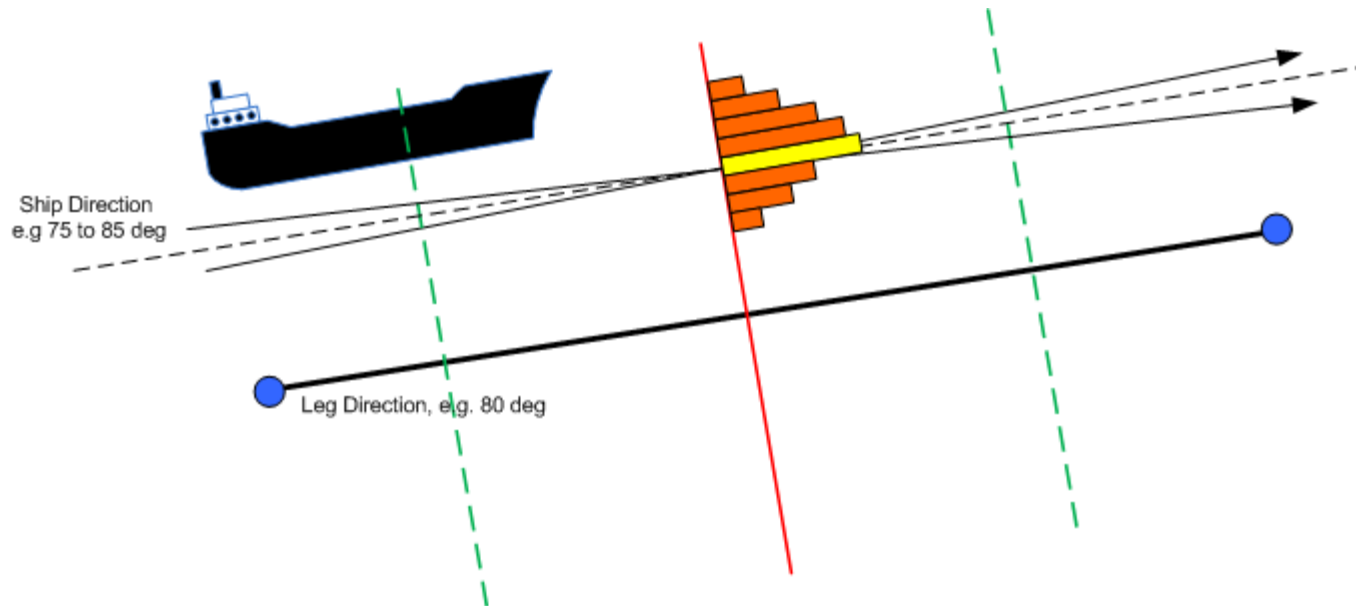
Fit distributions  
Fit: No  
Min. width (normal): 2 bins  
Smoothing: 2 bins  
Min. width (uniform): 2 bins

Traffic volumes  
 Extract  
 Convert to year

Debug  
Filter:    Log

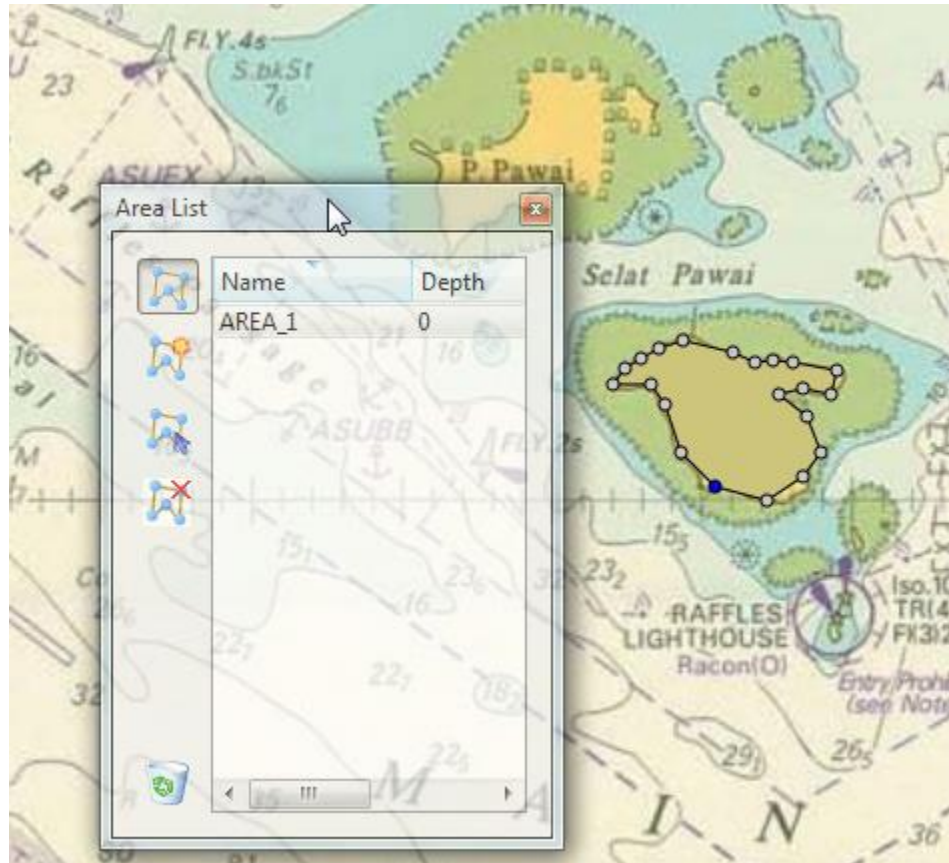
Progress  
Total:

# Histogram extraction algorithm



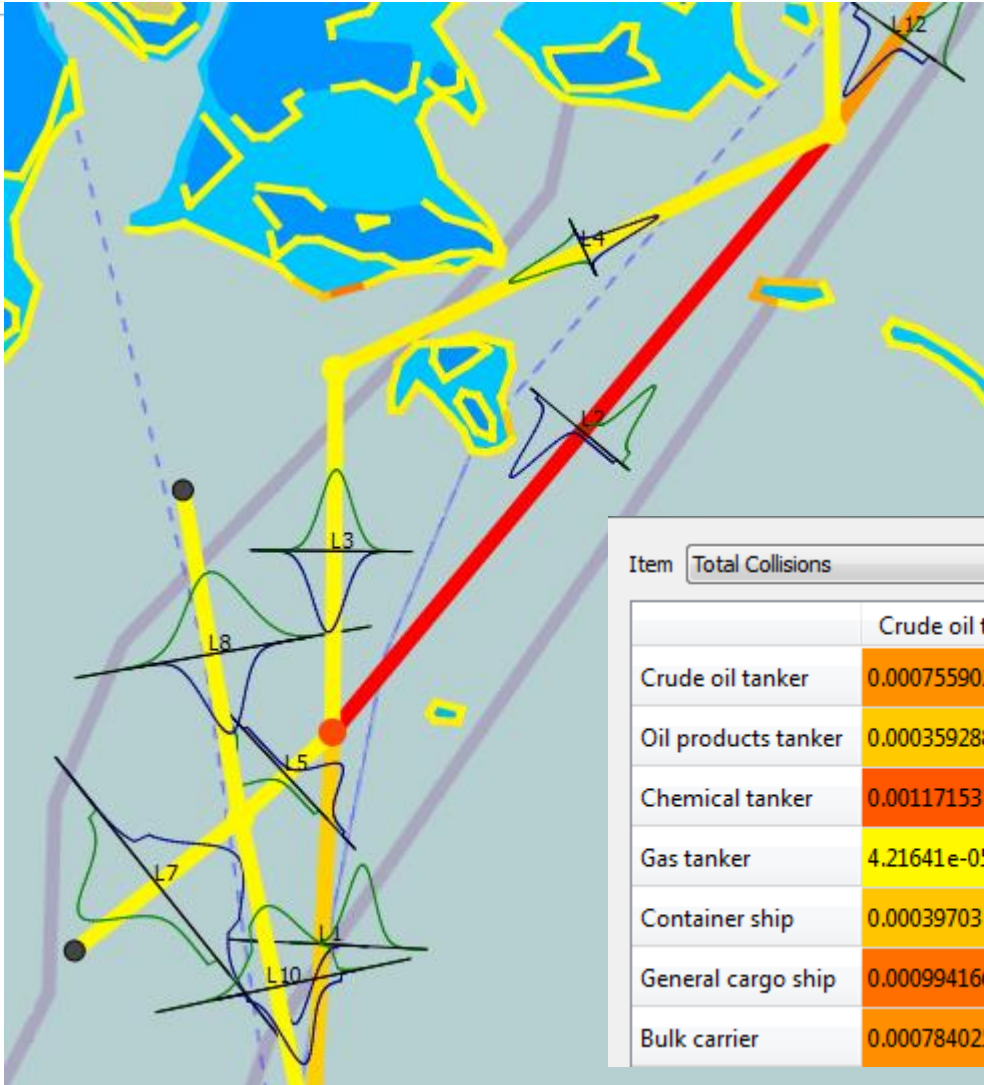
## 6. Depth curves

Depth curves can be imported or created using the polygon editor





# Run model and Inspect Results



	Hatter3	Hatter2
Powered Grounding	0.0993255	0.173198
Drifting Grounding	0.136376	0.127013
Total Groundings	0.235701	0.300211
Overtaking	0.0254244	0.0156494
HeadOn	0.00501114	0.00710918
Crossing	0.0046906	0.00384203
Merqing	0.00566171	0.00239514
Bend	0.023018	0.0164033
Area	6.25829e-07	3.76635e-07
Total Collisions	0.0638065	0.0453994

Item:  ➡ Striking    ⬇ Struck

	Crude oil tanker	Oil products tanker	Chemical tanker	Gas tanker	Container ship
Crude oil tanker	0.000755902	0.000326592	0.000658663	3.7102e-05	0.000559216
Oil products tanker	0.000359288	0.000166267	0.000453952	2.39363e-05	0.000370792
Chemical tanker	0.00117153	0.000626526	0.00164856	0.000107483	0.00173098
Gas tanker	4.21641e-05	2.35578e-05	7.70011e-05	3.55511e-06	5.70441e-05
Container ship	0.00039703	0.000206595	0.000695889	2.89057e-05	0.000387504
General cargo ship	0.000994166	0.000544339	0.00172212	8.96641e-05	0.00134074
Bulk carrier	0.000784025	0.000415358	0.00112078	6.95708e-05	0.00109947



# IWRAP Mk2

## Misc info



June/July 2013

GateHouse

# Links

**IALA:**

<http://iala-aism.org>

**IALA IWRAP Mk2 Wiki:**

[http://iala-aism.org/wiki/iwrap/index.php?title=Main\\_Page](http://iala-aism.org/wiki/iwrap/index.php?title=Main_Page)

**GateHouse:**

<http://www.gatehouse.dk>

**GateHouse:**

<http://webshop.gatehouse.dk>

**GateHouse IWRAP:**

<http://www.gatehouse.dk/en-US/Fields-of-Expertise/Maritime/Products/IWRAP-Risk-analysis.aspx>



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